

Information sharing by Parties on the implementation of the Convention:
Template for the submission of examples of and good practices with regard to
strategies, policies and measures

Background information and template
for the submission of
Examples of and good practices with regard to air pollution related policies, strategies and
measures

I. Background

1. The Executive Body, at its thirty-sixth session, adopted **decision 2016/3** on Improving the effectiveness of reporting on strategies, policies and other measures to implement obligations under the Convention and its Protocols. This decision stipulates that *“the period for reporting the information referred to in article 5, paragraph 1 (a), of the 1994 Protocol on Further Reductions of Sulphur Emissions, article 7, paragraph 1 (a), of the Protocol on Heavy Metals, article 9, paragraph 1 (a), of the Protocol on Persistent Organic Pollutants and article 7, paragraph 1 (a), of the Protocol to Abate Acidification, Eutrophication and Ground-level Ozone (Gothenburg Protocol) is at least once every four years”*. As per this decision, the Executive Body also *“invites States and organizations referred to in article 14, paragraph 1, of the Convention that are not Parties to these four Protocols to provide information on strategies, policies and measures to abate air pollution at the sessions of the Working Group on Strategies and Review.”*

2. The present document provides background information on the obligations to report on strategies, policies and measures for the respective Protocols. The enclosed template has been developed to facilitate the submission by Parties of examples of and good practices with regard to different regulatory, voluntary, economic and other measures relating to air pollution in advance of WGSR’s fifty-fifth session to be held in Geneva from 31 May to 2 June 2017. At this session, the Working Group is also expected to review the structure of this template and revise it, if appropriate.

3. Heads of Delegations and other participants in the fifty-fifth session of the Working Group on Strategies and Review are invited to submit to the secretariat case studies/examples which could be of interest to other countries and thus to the policy discussion at the WGSR session. **Parties that had not yet shared such information at the previous sessions of the Working Group are particularly invited to do so.** An overview of the previous reporting at WGSR sessions since 2013 is available on the Convention website: <http://www.unece.org/environmental-policy/conventions/envlrtapwelcome/convention-bodies/working-group-on-strategies-and-review/strategies-and-policies-for-the-abatement-of-air-pollution.html>

In order to facilitate the preparation of the policy discussion on the basis of the examples submitted, please send your examples to air_meetings@unece.org by 28 April 2017.

4. Examples could comprise, amongst others, economic measures such as financial incentives or disincentives (such as taxes, subsidies, set prices or caps/ceilings, payments, rebates), voluntary measures (such as voluntary agreements, programmes or contracts), regulatory or legislative measures or other measures (such as educational or informational measures). They can include policies, strategies and measures emanating from different sectors with

positive effects on air pollution abatement (such as acts/laws on sustainable transport, sustainable agriculture/farming, energy, green building, biodiversity conservation and enhancement). A more detailed description of the implementation of your chosen policy, strategy or measure and related challenges and problems as well as solutions would be more useful than the presentation of many different examples. Furthermore, your examples could also be useful to other Parties even if they have not been successful by indicating why this was the case. You are thus also invited to submit experiences that cover such items as:

- a) A measure that was less effective than you anticipated and why;
- b) A measure that was actually more effective than you predicted;
- c) A measure that had particular implementation challenges – what were they and how did you address them;
- d) A measure that was either less expensive or more expensive than you had estimated. What caused the increased or decreased costs?
- e) Goals that were set and were met by innovative strategies.

II. Obligations under the Protocols to the CLRTAP Convention to report on strategies, policies and measures

II.1 Reporting on strategies, policies and measures under the 1994 Sulphur, Heavy Metals, POPs and Gothenburg Protocols

7. In accordance with **Decision 2013/2** adopted by the Executive Body at its thirty-second session, *“the sessions of the Working Group on Strategies and Review shall be considered the format for reporting on strategies, policies, and measures referenced in Article 5.1 of the 1994 Sulphur Protocol, Article 7.2 of the Heavy Metals Protocol, Article 7.2 of the Protocol on POPs, and Article 7.2 of the Gothenburg Protocol”*. In accordance with **Decision 2016/3** adopted by the Executive Body at its thirty-sixth session, *the period for reporting is at least once every four years*.

II.1.1 Reporting on strategies, policies and measures under the 1994 Sulphur and the Gothenburg Protocol

8. Article 4 of the 1994 Sulphur Protocol requires that *“[each] Party shall, in order to implement its obligations under article 2: (a) adopt national strategies, policies and programmes, no later than six months after the present Protocol enters into force for it; and (b) take and apply national measures to control and reduce its sulphur emissions”*. Article 5 of the 1994 Sulphur Protocol on “Reporting” stipulates that *“each Party shall report, through the Executive Secretary of the Commission, to the Executive Body, on a periodic basis as determined by the Executive Body, information on: (a) the implementation of national strategies, policies, programmes and measures referred to in article 4, paragraph 1; [...] (c) the implementation of other obligations that it has entered into under the present Protocol, in conformity with a decision regarding format and content to be adopted by the Parties at a session of the Executive Body. The terms of this decision shall be reviewed as necessary to identify any additional elements regarding the format and/or content of the information that are to be included in the reports”*.

9. The 1994 Sulphur Protocol sets emission ceilings for 2005 and 2010 for some Parties. With the exception of Austria, Canada, Greece, Ireland, Italy, Liechtenstein and Monaco, all Parties to the 1994 Sulphur Protocol have also ratified or acceded to the 1999 Gothenburg Protocol which sets ceilings for 2010.

10. Article 6 of the Gothenburg Protocol stipulates that *“each Party shall, as necessary and on the basis of sound scientific and economic criteria, in order to facilitate the implementation of its obligations under article 3: (a) adopt supporting strategies, policies and programmes without undue delay after the present Protocol enters into force for it;[...]*” Paragraph 1 of Article 7 on “Reporting” stipulates that *“subject to its laws and regulations and in accordance with its obligations under the present Protocol: (a) each Party shall report, through the Executive Secretary of the Commission, to the Executive Body, on a periodic basis as determined by the Parties at a session of the Executive Body, information on the measures that it has taken to implement the present Protocol”*. Paragraph 2 stipulates that *“the information to be reported in accordance with paragraph 1 (a) shall be in conformity with a decision regarding format and content to be adopted by the Parties at a session of the Executive Body. The terms of this decision shall be reviewed as necessary to identify any additional elements regarding the format or the content of the information that is to be included in the reports.”*

11. In 2012, amendments to the Gothenburg Protocol and its annexes were adopted by decisions 2012/1, 2012/2 and 2012/3. In addition, Parties adopted decision 2012/4 on the Provisional application of the amendments to the Protocol, which enables Parties to make use of the adjustment procedure under decision 2012/3 immediately.

12. Sulphur as a pollutant is covered by both the Gothenburg Protocol and the 1994 Sulphur Protocol. Moreover, the following pollutions are covered by the Gothenburg Protocol: nitrogen oxides (NO_x), ammonia (NH₃) and volatile organic compounds (VOC).

13. In accordance with Decision 2013/2, Parties to the 1994 Sulphur and Gothenburg Protocol are thus invited to report on the design and implementation of strategies, policies and measures to implement obligations under the 1994 Sulphur Protocol and the Gothenburg Protocol, notably to abate pollution of sulphur, nitrogen oxides, ammonia and volatile organic compounds.

II.1.1 Reporting on strategies, policies and measures under the Protocol on Persistent Organic Pollutants (Protocol on POPs)

14. Article 7 of the Protocol on POPs requires that each Party shall, no later than six months after the date on which this Protocol enters into force for it, develop strategies, policies and programmes in order to discharge its obligations under the present Protocol. Article 9, paragraph 1 of the Protocol on POPs on “Reporting” stipulates that *“subject to its laws governing the confidentiality of commercial information: (a) each Party shall report, through the Executive Secretary of the Commission, to the Executive Body, on a periodic basis as determined by the Parties meeting within the Executive Body, information on the measures that it has taken to implement the present Protocol”*. Furthermore, paragraph 2 of article 9 stipulates that *“the information to be reported in accordance with paragraph 1 (a) above shall be in conformity with a decision regarding format and content to be adopted by the Parties at a session of the Executive Body. The terms of this decision shall be reviewed as necessary to identify any additional elements regarding the format or the content of the information that is to be included in the reports.”*

15. In 2009, amendments to the Protocol on POPs were adopted through decisions 2009/1, 2009/2 and 2009.

16. The pollutants covered by the Protocol on POPs are the following: polycyclic aromatic hydrocarbons (PAH), hexachlorobenzene (HCB), and dioxins/furans.

17. In accordance with Decision 2013/2, Parties to the Protocol on POPs are thus invited to report at the WGSR session on the design and implementation of strategies, policies, and measures employed to implement obligations under the Protocol on POPs, notably to reduce emissions of PAH, HCB and dioxins/furans.

II.1.1 Reporting on strategies, policies and measures under the Protocol on Heavy Metals

18. Article 5 of the Protocol on Heavy Metals stipulates “*each Party shall develop, without undue delay, strategies, policies and programmes to discharge its obligations under the present Protocol.*” Paragraph 1 of Article 7 on “Reporting” requires that “*subject to its laws governing the confidentiality of commercial information: (a) each Party shall report, through the Executive Secretary of the Commission, to the Executive Body, on a periodic basis as determined by the Parties meeting within the Executive Body, information on the measures that it has taken to implement the present Protocol*”. Paragraph 2 stipulates that “*the information to be reported in accordance with paragraph 1 (a) above shall be in conformity with a decision regarding format and content to be adopted by the Parties at a session of the Executive Body. The terms of this decision shall be reviewed as necessary to identify any additional elements regarding the format or the content of the information that is to be included in the reports.*”

19. In 2012, amendments to the Protocol and its annexes were adopted by decisions 2012/5 and 2012/6.

20. The pollutants covered by the Protocol on Heavy Metals are cadmium, lead and mercury.

21. In accordance with Decision 2013/2, Parties to the Protocol on Heavy Metals are invited to report at the WGSR session on the design and implementation of strategies, policies, and measures employed to implement obligations under the Protocol on Heavy Metals, notably to reduce emission of mercury, lead and cadmium.

II.2 Reporting on strategies, policies and measures by Parties to the Protocol concerning the control of Nitrogen Oxides or their transboundary fluxes (Protocol on NO_x) and the Protocol concerning the control of emissions of Volatile Organic Compounds and their transboundary fluxes (Protocol on VOC)

22. In accordance with Decision 2013/2, “*Parties to the Protocol on NO_x and the Protocol on VOC may utilize the time set aside during the annual session of the Working Group on Strategies and Review to report on changes or revisions to their policies, strategies, and measures to implement obligations under the respective Protocols in satisfaction of their obligations under Article 8.1 of the Protocol on NO_x and Article 8.2 of the Protocol on VOC*”.

23. Article 7 of the 1988 Protocol on NO_x stipulates that “Parties shall develop without undue delay national programmes, policies and strategies to implement the obligations under the present Protocol that shall serve as a means of controlling and reducing emissions of nitrogen oxides or their transboundary fluxes.” Article 8 requires that “Parties shall exchange information by notifying the Executive Body of the national programmes, policies and strategies that they develop in accordance with article 7 and by reporting to it annually on progress achieved under, and any changes to, those programmes, policies and strategies, [...]” Paragraph 2 of article 8 stipulates that “such information shall, as far as possible, be submitted in accordance with a uniform reporting framework.”

24. Article 7 of the 1991 Protocol on VOC stipulates that “Parties shall develop without undue delay national programmes, policies and strategies to implement the obligations under the present Protocol that shall serve as a means of controlling and reducing emissions of VOCs or their transboundary fluxes.” Article 8 on “Information exchange and annual reporting” provides that “Parties shall exchange information by notifying the Executive Body of the national programmes, policies and strategies that they develop in accordance with article 7, and by reporting to it progress achieved under, and any changes to, those programmes, policies and strategies [...]” Paragraph 4 of article 8 stipulates that “such information shall, as far as possible, be submitted in accordance with a uniform reporting framework”.

25. The majority of the Parties to the NO_x and VOC Protocols are also Parties to the Gothenburg Protocol which covers the pollutants covered by the NO_x and VOC Protocols. Parties to the NO_x Protocol not Parties to the Gothenburg Protocol are the following: Albania, Austria, Belarus, Estonia, Greece, Ireland, Italy, Liechtenstein, Russian Federation, Ukraine. Parties to the VOC Protocol not Parties to the Gothenburg Protocol are the following: Austria, Estonia, Italy, Monaco, Liechtenstein. In accordance with Decision 2013/2, the Parties listed above may wish to consider reporting on changes or revisions to their policies, strategies, and measures to implement obligations under the respective NO_x or VOC Protocols.

26. As it is not possible to cover all of the above issues in one meeting, **Parties are invited to inform the secretariat of the information they may wish to provide on a particular measure at the WGSR session, by submitting the enclosed template by 28 April 2017 by writing to air_meetings@unece.org. The priority for presentations would be given to Parties that have not yet shared their experience during previous WSGR sessions and to Parties that would submit their inputs by the indicated deadline, taking due account of the available time.** Presentations could take place either under agenda item 6 c) Good practices to strengthen the implementation of air pollution-related policies strategies and measures or agenda item 6 b) Special session on air pollution and agriculture, with regard to the requirement to establish, publish and disseminate national advisory codes of good agricultural practice to control ammonia emissions, in accordance with annex IX to the Gothenburg Protocol.

III. Template to facilitate the submission of examples/good practices of strategies, policies and measures employed to implement obligations under any of the Protocols to the Convention

<p>Country: GERMANY</p>	<p>Sector: <i>Please indicate the sector (e.g. agriculture, industry, urban planning, environment, etc.), or sectors (if several) for which the strategy, policy or measure has been mainly designed</i></p> <p>Agriculture</p>
<p>Type of strategy, policy or measure: <i>Please identify the type of strategy, policy or measure – economic e.g. incentive or disincentive (taxes, funds, subsidies, prices or caps/ceilings, payments, rebates, etc); voluntary (agreements, programmes, contracts), regulatory (legislation), or other measures (educational, informational, other)</i></p> <p>Advisory code</p>	<p>Level: <i>Please state at which level (municipal, regional, sub-national, national) the policy, strategy or measure is targeted or implemented</i></p> <p>national</p>
<p>What is the main objective of the strategy, policy or measure? When has it been implemented/or will be implemented? <i>Please describe briefly what the measure attempts to achieve or what has been the result of its implementation. Please also describe since when it is being employed or for when its implementation is foreseen. Please explain whether implementation is/was immediate or gradual. [150 words max]</i></p> <p>The new UNECE Framework Code on Good Agricultural Practice for Reducing Ammonia has been translated into German in co-operation between Germany, Switzerland and Austria. The wording of the translation has been carefully harmonized with the wording of the BAT Conclusions (BREF IRPP). It contains current knowledge on ammonia abatement in agriculture. The result will be presented at the 12th TFRN meeting 2017 in Aarhus.</p> <p>However, the translation of the framework code, while formally constituting a new National Advisory Code for good agricultural practice on ammonia abatement (NAC), is not suitable for addressing end users such as farmers. That’s why a brochure for farmers, consultants and administration will be prepared and published in 2018/2019 on the basis of the German translation of the Framework Code. An expert drafting group comprising agricultural and environmental experts is being set up.</p>	
<p>Background and driving forces: <i>Please explain briefly why this strategy, policy or measure was implemented; mention the driving forces for its introduction e.g. policy development, legislation (EU, national), action plans, voluntary, incentive, or other [150 words max]</i></p> <p>The NAC is based on the UNECE Framework Code on Good Agricultural Practice for Reducing Ammonia. The EU Directive on National Emission Reduction Commitments (2016/2284/EU; NERC-Directive) defines the need to set up National Air Pollution Control Programs including the definition of a NAC for good agricultural practice on ammonia abatement. Also national legislation such as the newly revised national fertilizing ordinance (primarily the German implementation of</p>	

the EU Nitrate Directive) refers to the national advisory code for good agricultural practice.

Description of the strategy, policy or measure:

Please explain briefly how the strategy, policy or measure works and why it has been chosen compared to other policies/measures. Please also explain how its implementation is being monitored. [200 words max]

(see “objective” and “driving forces”)

Costs, Funding and Revenue allocation:

Please state how much the implementation of the measure costs including its monitoring and how it is funded (national budget, industry, taxes, etc.) If the measure is creating revenue, please also explain how this revenue is being allocated and collected. [200 words max]

It is difficult to state the total costs of this measure. We estimate costs of about 100.000,00 €totally, for translating, bringing people together (travel costs) and designing the NAC. Upon completion, there are no direct costs; costs and benefits incurred by implementing national legislation (fertilizing ordinance, technical instruction on air pollution and others) are defined separately and are only indirectly attributable to this measure.

Effect and impacts on air pollution abatement:

Please explain briefly the effect of the policy, strategy or measure and how it has impacted the abatement of air pollution. If impacts are known, please quantify, if possible. Please highlight also other effects of the implementation of the measure e.g. with regard to compliance, the acceptance of the measure or its transposition (e.g. from a voluntary to a regulatory or another type of measure). [150 words max]

As explained above, the measure will have only indirect effects on air pollution abatement. However, it is assumed that up-to-date, internationally peer-reviewed information presented in a format attractive for end-users (primarily farmers) will facilitate the implementation of voluntary measures and even increase the acceptance and cost-effectiveness of mandatory measures.

References/Further information: *Please provide most relevant sources for information such as references for web links, books, other resources.*

The German translation of the Framework Code will be publicly available in 2017/2018; the brochure will be publicly available in 2018/2019.

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Additional comments: *Please include any additional information you may wish to provide here.*