

30 June 2006

Espoo Convention on EIA in a Transboundary Context



3rd Biannual Financial Report (period between 3rd and 4th meetings of the Parties)

Introduction

The Meeting of the Parties to the Espoo Convention (the Convention on Environmental Impact Assessment in a Transboundary Context, adopted in Espoo, Finland) requested “the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next meeting of the Parties, including information on how much Parties and other participating States contributed to the budget of the Convention in cash and in kind, and on how the contributions were spent” (decision III/10, para. 10).

A small group, comprising representatives of Bulgaria, Switzerland and the United Kingdom, was formed by the Convention’s Bureau to prepare an informal paper on financing. That informal paper was presented to the Working Group on Environmental Impact Assessment (EIA) at its eighth meeting, 27-29 April 2005. The Working Group welcomed the informal paper and decided, *inter alia*, that the secretariat would report on income and expenditure on a six-monthly basis to the Bureau.

This document is the **third** such report and it updates the first two reports, which were prepared for the periods to 30 June and to 31 December 2005.

The details of income and expenditure are presented in Tables 2 to 6. Table 1 provides a summary of income and expenditure and presents some of the consequences of the expected shortfall against the budget. Table 3 *bis* was added to the second report (and is included in this report) at the request of the Bureau to provide a further breakdown of meeting costs.

Summary

This document provides a narrative description of Table 1, the summary table.

The total budget for activities under the workplan, in the period between the third and fourth meetings of the Parties, is US\$ 1,312,000. The activities were assigned priorities of 1, 2 or 3 (see Table 6), with the budgets for the priorities being:

BUDGET	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 520,000	US\$ 541,000	US\$ 251,000	US\$ 1,312,000

Parties have made ‘cash’ contributions to the Espoo Trust Fund (Table 5), either fulfilling pledges made at the third meeting of the Parties, or in response to later appeals by the secretariat (Table 2). All pledges for 2004-2005 and 2005-2006 have been fulfilled apart from that of the Czech Republic.¹ To date, the cash contributions, net of the UN programme support costs (see box, as requested by the Bureau), total US\$ 510,924. However, some contributors have imposed conditions on their contributions, including US\$ 59,160 earmarked for priority 2 activities.

TRUST FUND INCOME	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 451,764	US\$ 59,160	-	US\$ 510,924

Box: Programme support costs

The UN Department of Economic and Social Affairs provides the following comment on programme support costs (UN intranet, at http://iseek.un.org/webpgdept5_18.asp?dept=5#6, accessed 6 January 2006):

“In their solicitation of funds from donors, the respective substantive Divisions should inform donors that reimbursement for programme support costs shall be provided for in respect of all activities financed from trust funds. The amount of the reimbursement shall be calculated at the standard percentage rate approved by the General Assembly. Currently, the standard percentage rate is calculated as 13 per cent of the incurred expenditures in accordance with resolution 35/217 [available at <http://www.un.org/documents/ga/res/35/a35r217e.pdf>], Part V, in which the General Assembly [at its 1980 session]:

(a) took note of the report of [Advisory Committee on Administrative and Budgetary Questions] on agency support costs (A/35/544); and

(b) approved the reimbursement formula embodied in decision 80/44 of the then Governing Council of UNDP. The procedure regarding programme support costs is contained in administrative instructions ST/SGB/188, part IV, paragraph 39, and ST/AI/286.”

A review of programme support costs was undertaken by the UN Joint Inspection Unit in 2002. Their report is available at http://www.unsystem.org/jiu/data/reports/2002/en2002_3.pdf.

To date, US\$ 148,620 has been spent from the Espoo Trust Fund (Table 3, with further details in Table 3 *bis*). The funds have been spent on three priority 1 activities (the second meeting of the Signatories to the Protocol on Strategic Environmental Assessment (SEA), two meetings of the Working Group on EIA and four meetings of the Implementation Committee) and on

¹ Full payment was received from the Czech Republic in July 2006.

two priority 2 activities (three free-standing meetings of the Bureau, and secretariat travel in relation to the workplan):

TRUST FUND	Priority 1	Priority 2	Priority 3	TOTAL
EXPENDITURE	US\$ 103,234	US\$ 45,386	-	US\$ 148,620

The balance in the Espoo Trust Fund (income less expenditure) is US\$ 362,304:

TRUST FUND	Priority 1	Priority 2	Priority 3	TOTAL
BALANCE	US\$ 348,530	US\$ 13,774	-	US\$ 362,304

Parties and organizations have also undertaken priority 2 workplan activities by providing in-kind contributions (Table 4). These activities have been valued according to the budget indicated by the Meeting of the Parties. The activities were: two workshops for the exchange of best practice, for workshops for subregional cooperation, transboundary EIA capacity-building in EECCA² countries and others, addressing institutional and procedural activities for the Protocol, SEA capacity-building needs analysis, and capacity development in SEA, including creation of a capacity development manual. The in-kind contributions to date have been valued at US\$ 252,000:

IN-KIND	Priority 1	Priority 2	Priority 3	TOTAL
CONTRIBUTIONS	-	US\$ 252,000	-	US\$ 252,000

The financial report has been revised to indicate more accurately the remaining expenditure expected in the period between the third and fourth meetings of the Parties, according to the budget, taking into account any possible overspend on individual budget lines:

OUTSTANDING	Priority 1	Priority 2	Priority 3	TOTAL
EXPENDITURE	US\$ 416,766	US\$ 264,034	US\$ 251,000	US\$ 931,800

The current shortfall of funds available against the budget is the difference between the outstanding expenditure and the balance in the Espoo Trust Fund:

CURRENT	Priority 1	Priority 2	Priority 3	TOTAL
SHORTFALL	US\$ 68,236	US\$ 250,260	US\$ 251,000	US\$ 569,496

However, there are outstanding pledges – pledges made at the third meeting of the Parties that have yet to be paid into the Espoo Trust Fund (Table 2). These comprise:

OUTSTANDING	Priority 1	Priority 2	Priority 3	TOTAL
TRUST FUND INCOME	US\$ 71,717	US\$ 4,812	-	US\$ 76,529

There are also outstanding in-kind contributions (Table 4). (These are primarily: (a) the examination of the substantive relationship between the Convention and the Protocol, the costs of which, if any, are expected to be covered by those carrying out the activity; (b) Ukraine's pledge to host a workshop for transboundary EIA capacity building, with confirmed support from Switzerland; (c) Armenia's pledge to host a workshop for

² Eastern Europe, Caucasus and Central Asia

transboundary EIA capacity building, again with confirmed support from Switzerland; and (d) Switzerland's expected support of training in transboundary EIA in Tajikistan.)

OUTSTANDING IN-KIND CONTRIBUTIONS	Priority 1	Priority 2	Priority 3	TOTAL
	-	US\$ 53,383	US\$ 75,000	US\$ 128,383

Finally, an expected final shortfall in the budget may be estimated from the current shortfall less the outstanding pledges to the Espoo Trust Fund and the outstanding in-kind contributions:

EXPECTED SHORTFALL	Priority 1	Priority 2	Priority 3	TOTAL
	-	US\$ 188,584	US\$ 176,000	US\$ 364,584

This expected shortfall has consequences for the different priority activities, as discussed below.

Priority 1 activities

All priority 1 activities should be funded and completed.

Priority 2 activities

The shortfall for priority 2 activities may be covered in part by finding sponsors for the fourth meeting of the Parties (including host government support), new contributions (in-kind or to the Espoo Trust Fund) and savings in undertaking activities, thus allowing the following priority 2 activities to be covered by the Espoo Trust Fund (with the SEA capacity-building, the subregional workshop for the Mediterranean and the workshops in Armenia and Ukraine having earmarked funds/pledges):

- Bureau meetings (free-standing)
- Further secretariat support – secretariat travel in relation to the workplan
- Workshops for the exchange of good practices in transboundary EIA
- Transboundary EIA capacity-building in the EECCA countries, and others – workshops in Armenia and Ukraine
- SEA capacity-building needs analysis – action plans
- Capacity development in SEA, including creation of a capacity development manual: finalization of manual

However, the following priority 2 activities are unlikely to receive funds from the Espoo Trust Fund:

- External expert to provide secretariat support (US\$ 120,000)
- Further secretariat support – promotional materials (US\$ 10,000)

Priority 3 activities

Two priority 3 activities are likely to proceed: the former as it is expected to be included within a larger Swiss-funded capacity-building activity; the latter as there is now to be no cost for the Espoo Trust Fund:

- Training course in Tajikistan (US\$ 60,000)
- Examination of the substantive relationship between the Convention and the Protocol (US\$ 15,000)

However, the expected shortfall against the budget would probably mean that the following priority 3 activities would not receive funds through the Espoo Trust Fund, though bilateral donors might be identified. These activities are:

- Further secretariat support – consultants, promotional materials and secretariat travel in relation to the workplan (US\$ 100,000)
- Promotion of contacts with countries outside the UNECE region (US\$ 25,000)
- Distribution of a relevant Russian-language journal (*Environmental Expertise and EIA*, published by ECOTERRA, US\$ 51,000), and (b)

ECOTERRA has been seeking donor funds for direct support of the journal.

Observations on the financial reporting arrangements

The secretariat has the following observations on the financial reporting arrangements:

1. There are numerous inconsistencies between the table of pledges in the report of the third meeting of the Parties (ECE/MP.EIA/6), the workplan table (annex IX) and the budget table (annex X). These include contributions to the Espoo Trust Fund earmarked for activities having no budget (subregional cooperation – hence the correction in line (f) of Table 1), earmarked contributions exceeding the activity budget (compliance with and implementation of the Convention), and earmarked contributions to priority 2 activities when funds are insufficient for priority 1 activities. These inconsistencies persist in the financial report.
2. If no budget was expressed in the budget table (annex X), the real value of work contributed to an activity has not been reflected in the financial report (see ‘Value’ column of Table 4).
3. There is no consideration of fund-raising, only reporting of received income and expenditure.
4. Savings on activities (actual cost against budgeted cost) have not yet been reflected in the financial report.

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Table 1: Summary

	US\$	By activity priority			
		1	2	3	
Budget for period between 3rd and 4th meetings of the Parties (ECE/MP.EIA/6, annex X) (from Table 6)	1,312,000	520,000	541,000	251,000	(a)
Contributions made to the Espoo Trust Fund, after deduction of UN programme support costs (from Table 2)	510,924	451,764	59,160	-	(b)
Expenditure from the Espoo Trust Fund for budgeted workplan activities (from Table 3)	148,620	103,234	45,386	-	(c)
Balance in the Espoo Trust Fund ((b)-(c))	362,304	348,530	13,774	-	(d)
In-kind contributions made, valued according to the budgeted activity cost (from Table 4) ¹⁾	252,000	-	252,000	-	(e)
Outstanding expenditure (from Table 6) ²⁾	931,800	416,766	264,034	251,000	(f)
Current shortfall against budget ((f)-(d))	569,496	68,236	250,260	251,000	(g)
Outstanding pledged contributions to the Espoo Trust Fund (approximate, from Table 2)	76,529	71,717	4,812	-	(h)
Outstanding pledged in-kind contributions, valued according to the budgeted activity cost (from Table 4) ¹⁾	128,383	-	53,383	75,000	(i)
Currently expected shortfall against budget ((g)-(h)-(i)) ^{3), 4), 5), 6)}	364,584	-	188,584	176,000	(j)

Notes

1) Some in-kind contributions were for activities for which no cost was specified in the budget, though they may have been in the workplan (ECE/MP.EIA/6, annex IX).

2) Italy paid €20,000 to the Trust Fund to cover the costs of a Mediterranean subregional workshop (which was initially to be paid in-kind, costing US\$ 20,000). The outstanding expenditure (priority 2 and total) has been increased by US\$ 20,000 accordingly.

(continued overleaf)

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Notes (continued):

3) All priority 1 activities should be completed:

#	Activity	Budgeted costs remaining	
		Priority 1	
1	4th meeting of the Parties to the Espoo Convention, Bucharest	170,000	
2	1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Geneva (if to be held before the 4th meeting of the Parties to the Convention)	40,000	
3	Meetings of the Signatories to the Protocol, Geneva (3 meetings in budget, but decision III/12 limits to 2 meetings, 1 of which in April 2005)	97,043	
4	Meetings of Working Group on EIA, Geneva (4 meetings, one of which held in April 2005, one in April 2006)	99,763	
5	Bureau meetings (back to back with other meetings)	0	
6	Meetings of the Implementation Committee, Geneva (6 meetings, of which one held autumn 2004, one in March 2005, one in November 2005, one in February 2006)	9,960	
11	Review of the implementation of the Convention	0	
Total (corresponds to line (f))		416,766	

4) The shortfall for priority 2 activities may be covered in part by finding sponsors for the 4th meeting of the Parties (including host government support), new contributions (in-kind or to the Espoo Trust Fund) and savings in undertaking activities, thus allowing the following priority 2 activities to be covered by the Espoo Trust Fund (with the SEA capacity-building and Ukraine workshop having earmarked funds/pledges):

#	Activity	Budgeted costs remaining	
		Priority 2	
5a	Bureau meetings (free-standing)	0	
8.2	Further secretariat support: Secretariat travel in relation to the workplan	34	
12	Exchange of best practice	4,000	
13	Subregional cooperation (see note 1 above)	20,000	
14.3	Workshop in Armenia (donor found)	30,000	
14.4	Workshop in Ukraine (pledged / donor found)	30,000	
18.2	SEA capacity-building needs analysis: Action plans	20,000	
19.3	Capacity development in SEA, including creation of a capacity development manual: Finalization of manual	30,000	
Total (corresponds to line (f), with totals under note 6)		134,034	

5) Further, two priority 3 activities are likely to proceed: the former as it is expected to be included within a larger Swiss-funded capacity-building activity; the latter as there is now to be no cost for the Espoo Trust Fund.

#	Activity	Budgeted costs	
		Priority 3	
15.2	Training course, Tajikistan (donor found)	60,000	
16	Examination of the substantive relationship between the Convention and the Protocol	15,000	
Total (corresponds to line (f), with totals under note 6)		75,000	

6) However, the following activities in the workplan are now **unlikely to receive funds through the Espoo Trust Fund**, though bilateral donors might be identified, or in-kind contributions made.

#	Activity	Budgeted costs		
		Priority:	2	3
7	External expert to provide secretariat support		120,000	
8.1	Further secretariat support: Promotional materials		10,000	
8a	Further secretariat support (consultants, materials, travel)			100,000
9	Promotion of contacts with countries outside UNECE region			25,000
15.1	Distribution of a Russian-language journal (donors sought)			51,000
Totals (corresponds to line (f), with total under notes 4 and 5)			130,000	176,000

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(at 4 June 2004)

Exchange rates for pledges:

US\$/euro 1.22

US\$/CAD\$ 0.73

Table 2: Income to the Espoo Trust Fund (US\$)

1 Party	2 Description of pledge in ECE/MP.EIA/6, plus additional contributions	3 2004-2005		4 2005-2006		5 2006-2007		6 2007-8	7 8 bis
		Pledge	Receipt	Pledge	Receipt	Pledge	Receipt	Receipt	
Albania	none (Non-pledged contribution expected)	0	0	0	0	0			
Armenia	none	0	0	0	0	0	0		
Austria	5 shares per year for three years.	5,000	5,000	5,000	5,000	5,000			
Azerbaijan	none	0	0	0	0	0	0		
Belarus	none - became Party in 2005	0	0	0	0	0	0		
Belgium	none	0	0	0	0	0	0		
Bulgaria	A contribution in-kind to host a sub-regional cooperation meeting in 2005.	0	0	0	0	0	0		
Canada	A total of Can\$ 15,000 for the three-year period, ear-marked for activities related to the Espoo Convention only. An initial Can\$ 5,000 will be provided in 2004 to be followed by a Can\$ 5,000 contribution for each of the subsequent 2 years. (This contribution is subject to currency exchanges.)	3,650	8,030	3,650	0	3,650			
Croatia	A total of 5 shares for the three-year period.	2,000	1,989	2,000	1,940	1,000	1,305		
Cyprus	(Additional contribution, not pledged.)	0	3,000	0	0	0	0		
Czech Republic	5 shares in 2005 earmarked for the activity "Production of a capacity development manual, & provision of training, to support implementation of the SEA" & 5 shares in 2006 (not earmarked).	0	0	5,000		5,000			
Denmark	none	0	0	0	0	0	0		
Estonia	none	0	0	0	0	0	0		
Finland	A total of 30 to 35 shares for the three-year period.	10,833	8,251	10,833	15,000	10,833	12,230		
France	none	0	0	0	0	0	0		
Germany	A total of 30 shares for the three-year period, half of which to be paid in 2004. Half of pledge ear-marked for Implementation Committee activities, and half for participation in meetings by NGOs and countries with economies in transition.	15,000	15,000	15,000	15,000	0	0		
Greece	none	0	0	0	0	0	0		
Hungary	3 shares per year for three years, plus an additional unpledged contribution of 2 shares in 2006 for an EECCA capacity-building workshop.	3,000	2,981	3,000	2,980	3,000	5,000		
Ireland	Approximately 12 shares (Euro 10,000) per year for three years, earmarked for capacity development in SEA, including creation of a capacity development manual; sub-activity: drafting of manual.	12,200	13,210	12,200	12,937	12,200	11,848		
Italy	Approximately 32 shares (Euro 25,000) in 2004, plus approximately Euro 20,000 earmarked for sub-regional cooperation activities (Mediterranean meeting).	30,500	30,843	24,400	23,869	0	0		
Kazakhstan	none	0	0	0	0	0	0		
Kyrgyzstan	none	0	0	0	0	0	0		
Latvia	none	0	0	0	0	0	0		
Liechtenstein	none	0	0	0	0	0	0		
Lithuania	none	0	0	0	0	0	0		

Party	Description of pledge in ECE/MP.EIA/6, plus additional contributions	2004-2005		2005-2006		2006-2007		2007-8
		Pledge	Receipt	Pledge	Receipt	Pledge	Receipt	Receipt
Luxembourg	(Additional contribution, not pledged.)	0	10,855	0	0	0	0	
Netherlands	Approximately 12 shares (Euro 10,000) per year for three years, earmarked for the activity "Compliance with and implementation of the Convention".	12,200	38,911	12,200	0	12,200	0	
Norway	20 to 25 shares per year for three years, subject to approval.	22,500	10,846	22,500	39,872	22,500		
Poland	2 shares in 2004.	2,000	2,000	0	0	0	0	
Portugal	none	0	0	0	0	0	0	
Republic of Moldova	none	0	0	0	0	0	0	
Romania	5 shares in 2005 and again in 2006.	0	0	5,000	5,000	5,000	5,000	
Slovakia	none	0	0	0	0	0	0	
Slovenia	3 shares per year from 2005.	0	0	3,000	3,000	3,000		
Spain	none	0	0	0	0	0	0	
Sweden	A total of US\$ 20,000 for the three-year period (equivalent to 20 shares), in cash or in kind, earmarked for sub-regional cooperation around the Baltic Sea.	0	0	0	0	0	0	
Switzerland	15 shares in 2004, plus contributions in kind. 15 to 20 shares per year in subsequent years, subject to approval. Additional, non-pledged contributions of SFr. 10,000 earmarked for capacity-building workshop in Ukraine, and of SFr. 50,000 for study tour (outside workplan & budget)	15,000	14,975	17,500	25,081	17,500	17,475	
The former Yugoslav Republic of Macedonia	In-kind contribution to subregional cooperation workshop, as indicated in Table 4.	0	0	0	0	0	0	
Ukraine	none (Expecting to contribute in-kind to subregional capacity-building workshop)	0	0	0	0	0	0	
United Kingdom	50 shares in 2004, with similar contributions indicated in 2005 & 2006 but subject to approval. The United Kingdom has also contributed US\$ 40,000 to the Secretariat's extrabudgetary post in 2005 (outside workplan & budget).	50,000	50,000	50,000	50,000	50,000		
European Community	Approximately 61 shares (Euro 50,000) in 2004. Additional, non-pledged contribution in 2005.	61,000	60,360	0	58,480	0		
Total		244,883	276,251	191,283	258,159	150,883	52,858	0
13% UN programme support costs			35,913		33,561		6,872	0
Net Income			240,338		224,599		45,987	0

Notes:

All Parties to the Convention are listed (column 1), whether or not contributing to the Trust Fund. Shares (in column 2) are US\$ 1,000 in value. UN programme support costs at 13% are deducted at the foot of this table.

The distribution of pledges (columns 3, 5 and 7) across the three-year period is approximate where not specified in the pledge (column 2); the amounts of the annual pledges are approximate if the total pledge is not in US\$.

Column 8 bis has been added in response to the delay of the fourth meeting of the Parties from 2007 to 2008. No pledges were made for 2007-8.

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Table 3: Expenditure from the Espoo Trust Fund

#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Totals by priority		
						1	2	3
3.1	Meetings of the Signatories to the Protocol, Geneva	1	2nd meeting (costs halved with 8th meeting of Working Group), 25-26 Apr. 2005, Geneva	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182			
	<u>Activity total</u>					<u>22,957</u>		
4.1	Meetings of Working Group on EIA, Geneva	1	8th meeting (costs halved with 2nd meeting of Signatories), 27-29 Apr. 2005, Geneva	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182			
4.2			9th meeting, 3-6 Apr. 2006, Geneva	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	27,404 7,157 2,719			
	<u>Activity total</u>					<u>60,237</u>		
5a.1	Meetings of the Bureau (free-standing, only one budgeted)	2	1st meeting, 8-10 Nov. 2004, Geneva	CIT travel & DSA	3,310			
5a.2			2nd meeting, 21 Feb. 2005, Geneva		1,453			
5a.3			3rd meeting, 13-14 Feb. 2006, Bucharest		657			
	<u>Activity total</u>						<u>5,420</u>	
6.1	Meetings of the Implementation Committee, Geneva	1	6th meeting, 3-5 Nov. 2004	CIT travel & DSA	5,657			
6.2			7th meeting, 3-4 Mar. 2005, Helsinki		4,079			
6.3			8th meeting, 14-15 Nov. 2005		4,812			
6.4			9th meeting, 6-8 Feb 2006		5,492			
	<u>Activity total</u>					<u>20,040</u>		
8.2.1	Further secretariat support: Secretariat travel in relation to the Work Plan	2	EIA capacity building (Issyk-Kul, Kyrgyzstan), 5-7 Oct. 2004	Secretariat travel & DSA	3,076			
8.2.2			SEA Manual review (Brussels), 2 Dec. 2004		1,070			
8.2.3			Subregional cooperation (Belgrade), 16-17 Dec. 2004		1,500			
8.2.4			Workshop on SEA (Bern), 19 Jan. 2005		350			
8.2.5			Implementation Committee (Helsinki), 3-4 Mar. 2005		1,504			
8.2.6			Caspian Protocol (Moscow), 9-11 Mar. 2005		1,495			
8.2.7			Caspian Sea training (Baku), 4-5 Apr. 2005		2,674			
8.2.8			Transboundary public participation (Mistelbach, Austria), 14 Apr. 2005		930			
8.2.9			SEA Manual review (Tbilisi), 21-22 Apr. 2005		1,103			
8.2.10			Subregional cooperation in transboundary river basins (Dushanbe), 20 May - 4 June 2005		3,099			
8.2.11			Subregional cooperation (Ohrid), 30 June - 1 July 2005		1,175			
8.2.12			Caspian Sea training (Gorgan, Islamic Republic of Iran), 11-12 July 2005		2,158			
8.2.13			Black Sea Commission (Istanbul), 7-9 Sept. 2005		1,253			
8.2.14			IAIA Conference on SEA (Prague), 26-30 Sept. 2005		1,779			
8.2.15			Subregional cooperation (Stockholm), 20-21 Oct. 2005		1,304			
8.2.16			PlanNet seminar on urban SEA (Brussels), 24-25 Oct. 2005 (paid by hosts)		-			
8.2.17			Caspian Protocol (Moscow), 24-26 Oct. 2005		2,000			
8.2.18			Subregional cooperation (Koprivshitz, Bulgaria), 3-4 Nov. 2005		1,093			
8.2.19			Kick-off seminar for transboundary EIA pilot project between Kazakhstan and Kyrgyzstan (Bishkek), 26-27 Jan. 2006		4,288			
8.2.20			Meeting with Swiss Federal Office for the Environment to discuss funding of activities under the Convention		332			
8.2.21			Bureau, Bucharest, 13-14 Feb. 2006		1,328			
8.2.22			SEA Capacity-Building, Minsk, 21-22 Mar. 2006		1,686			
8.2.23			IAIA Conference, Stavanger, 20-23 May 2006		1,395			
8.2.24			IAIA Conference, Stavanger, 22-26 May 2006		1,909			

#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Totals by priority		
						1	2	3
8.2.25			Caspian Protocol (Moscow), 7-8 June 2006		1,465			
	<u>Activity total</u>						<u>39,966</u>	
	<u>Totals</u>				<u>148,620</u>	<u>103,234</u>	<u>45,386</u>	<u>-</u>

Costs for meeting participant are broken down by travel and DSA in Table 3 bis.

Estimated amounts shown in italics.

The Mediterranean subregional workshop will be included in this table. Though no budget was specified for this activity (which was costed at US\$20,000, to be paid in-kind), Italy provided €20,000 to the Trust Fund to cover the costs.

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Table 3 bis : Meeting costs breakdown (where known)

#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Breakdown (US\$)	
						Travel	DSA
3.1	Meetings of the Signatories to the Protocol, Geneva	1	2nd meeting (costs halved with 8th meeting of Working Group), 25-26 Apr. 2005, Geneva	CIT travel & DSA	16,296	5,485	10,811
				NGO travel & DSA	4,479	1,607	2,872
				non-ECE travel & DSA	2,182	862	1,320
				Activity total	22,957	7,954	15,003
4.1	Meetings of Working Group on EIA, Geneva	1	8th meeting (costs halved with 2nd meeting of Signatories), 27-29 Apr. 2005, Geneva	CIT travel & DSA	16,296	5,485	10,811
				NGO travel & DSA	4,479	1,607	2,872
				non-ECE travel & DSA	2,182	862	1,320
				Activity total	60,237	23,759	36,478
4.2	9th meeting, 3-6 Apr. 2006, Geneva			CIT travel & DSA	27,404	10,717	16,687
				NGO travel & DSA	7,157	3,433	3,724
				non-ECE travel & DSA	2,719	1,655	1,064
				Activity total	60,237	23,759	36,478
5a.1 5a.2 5a.3	Meetings of the Bureau (free-standing, only one budgeted)	2	1st meeting, 8-10 Nov. 2004, Geneva 2nd meeting, 21 Feb. 2005, Geneva 3rd meeting, 13-14 Feb. 2006, Bucharest	CIT travel & DSA	3,310	750	2,560
					1,453	750	703
					657	-	657
				Activity total	5,420	1,500	3,920
6.1 6.2 6.3 6.4	Meetings of the Implementation Committee, Geneva	1	6th meeting, 3-5 Nov. 2004 7th meeting, 3-4 Mar. 2005, Helsinki 8th meeting, 14-15 Nov. 2005 9th meeting, 6-8 Feb 2006	CIT travel & DSA	5,657	2,349	3,308
					4,079	2,119	1,960
					4,812	1,957	2,855
				Activity total	20,040	8,511	11,529

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Table 4: In-kind contributions

Indicated value (US\$) is that indicated in the current budget (ECE/MP.EIA/6, annex X); the total value according to the budget is presented in the summary Table 1.

In the 'notes' column, 'workplan' refers to ECE/MP.EIA, annex IX.

#	Activity	Priority	Sub-activity	Date	Source	Value (US\$)	Notes	By priority		
								1	2	3
12.1	Exchange of best practice	2	Workshop on trans-boundary projects	Apr-05	Switzerland	1,000	According to budget	-	1,000	-
12.2		2	Workshop on post-project analysis	Apr-06	Bulgaria	1,000	According to budget	-	1,000	-
13.1	Subregional cooperation	2	Belgrade, for South-East Europe	Dec-04	Serbia & Montenegro	0	No budget, but workplan indicates costs of \$20,000	-	-	-
					Switzerland	0		-	-	-
13.2			Ohrid, for South-East Europe	June-July 05	The former Yugoslav Republic of Macedonia	0	No budget, but workplan indicates costs of \$20,000	-	-	-
					Switzerland	0		-	-	-
13.3			Stockholm, for the Baltic Sea region	Oct-05	Sweden, Denmark, Finland & Estonia	0	No budget, but workplan indicates costs of \$20,000	-	-	-
13.4	Koprivshitzta, for Black Sea and Balkans region	Nov-05	Bulgaria	0	No budget, but workplan indicates costs of \$20,000	-	-	-		
14.1	Capacity-building in EECCA countries, & others	2	Preparation of draft guidance	2004	OSCE & Switzerland	20,000	According to budget	-	20,000	-
14.2		2	1st workshop (Kyrgyzstan)	Oct-04	OSCE & Switzerland	30,000	According to budget	-	30,000	-
14.5		2	National workshops ...			0	No budget, but workplan indicates costs of \$5,000 per meeting.	-	-	-
17	Institutional and procedural activities for the Protocol	1			Germany, Netherlands & UK	0	No budget	-	-	-
18.1	SEA capacity-building needs analysis	2	National and subregional needs analyses	2004	UNDP/RBEC	20,000	According to budget	-	20,000	-
19.1	Capacity development in SEA, including creation of a capacity development manual	2	Drafting of manual	Summer 2004-April 2005	REC-CEE	45,000	According to budget	-	45,000	-
19.2		2	Subregional workshops	Dec-04 - Apr-05	REC-CEE	130,000	According to budget	-	130,000	-
19.3		2	Finalization of manual	Spring 2005	REC-CEE	5,000	Remaining \$30,000 to come from Espoo Trust Fund	-	5,000	-
Totals						252,000		-	252,000	-

Outstanding pledged in-kind contributions:

13.5	Subregional cooperation	2	Workshop for Mediterranean subregion		Italy, Croatia & UNEP/MAP	0	No budget, but workplan indicates costs of \$20,000; Italy later made \$20,000 contribution to Trust Fund to cover this activity.	-	-	-
14.3	Capacity-building in EECCA countries, & others	2	Subregional workshop in Armenia		Switzerland	30,000	According to budget	-	30,000	-
14.4		2	Subregional workshop in Ukraine		Ukraine	23,383	According to budget, less contribution by Switzerland via Trust Fund *	-	23,383	-
15.2	Capacity-building in the countries of Eastern Europe, the Caucasus and Central Asia, and others	3	Training course, Tajikistan (and in other Central Asian States)		Switzerland	60,000	According to budget	-	-	60,000

16	Examination of the substantive relationship between the Convention and the Protocol	3			EC (& Belgium)	15,000	Costs were not pledged, but no costs now expected.
Totals						128,383	

-	-	15,000
-	53,383	75,000

* Amount is US\$ 7,606 (CHF 10,000) provided by Switzerland, less 13% programme support costs: 6,617

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Table 5: Income to the Espoo Trust Fund by date (US\$)

Income for (a) workplan budget and (b) other

(a) Income for workplan budget

Date	Donor	Currency	Amount (US\$)
2004			
29 April 2004	Italy	USD30842.5	30,843
25 May 2004	Norway	USD10846.49	10,846
02 July 2004	European Commission	USD60360	60,360
09 August 2004	Germany	USD15000	15,000
21 September 2004	Hungary	USD2980.5	2,981
13 October 2004	Finland	EUR6700	8,251
06 December 2004	United Kingdom	USD50000	50,000
10 December 2004	Croatia	EUR1500	1,989
21 December 2004	Poland	USD2000	2,000
2005			
05 January 2005	Austria	USD5000	5,000
10 January 2005	Switzerland	USD14975	14,975
12 January 2005	Luxembourg	EUR8000	10,855
20 February 2005	Germany (2nd payment)	USD15000	15,000
15 March 2005	Ireland	EUR10000	13,210
22 March 2005	Cyprus	USD3000	3,000
08 April 2005	Netherlands (all payments)	EUR30000	38,911
15 April 2005	Canada (main payment)	USD8029.55	8,030
26 April 2005	Hungary (2nd payment)	USD2979.5	2,980
02 May 2005	Switzerland (2nd payment)	USD17475	17,475
04 May 2005	Croatia (2nd payment)	EUR1500	1,940
10 May 2005	United Kingdom (2nd payment)	USD50000	50,000
17 May 2005	Ireland (2nd payment)	EUR10000	12,937
14 June 2005	Romania	USD5000	5,000
05 October 2005	Slovenia	USD3000	3,000
24 October 2005	Italy	GBP13534	23,869
22 November 2005	European Commission (2nd)	EUR50000	58,480
30 November 2005	Austria (2nd)	USD5000	5,000
02 December 2005	Finland (2nd)	USD15000	15,000
27 December 2005	Switzerland (for Ukraine)	USD7606	7,606
2006			
07 March 2006	Switzerland (3rd payment)	USD17475	17,475
21 March 2006	Ireland	EUR10000	11,848
19 April 2006	Croatia (3rd payment)	USD1304.82	1,305
02 May 2006	Norway (2nd payment)	USD39872.41	39,872
08 May 2006	Hungary (3rd payment)	USD3000	3,000
08 May 2006	Hungary (for EECCA w/shop)	USD2000	2,000
17 May 2006	Romania (2nd payment)	USD5000	5,000
01 June 2006	Finland (3rd payment)	USD12230	12,230
TOTAL			587,268

(b) Other contributions (not in workplan budget)

Date	Donor	Currency	Amount (US\$)	
23 March 2005	United Kingdom	USD40000	40,000	for extrabudgetary post
06 January 2006	Switzerland	CHF50000	38,168	for study tour, April 2006

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Table 6: Budget, after ECE/MP.EIA/6, annex X (US\$)

#	Activity	Priority	Sub-activity	Budget (US\$)	By priority		
					1	2	3
1	4th meeting of the Parties to the Espoo Convention, Bucharest	1	-	170,000	170,000	-	-
2	1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Geneva (if to be held before the 4th meeting of the Parties to the Convention)	1	-	40,000	40,000	-	-
3	Meetings of the Signatories to the Protocol, Geneva (3 meetings in budget, but decision III/12 limits to 2 meetings)	1	-	120,000	120,000	-	-
4	Meetings of Working Group on EIA, Geneva (4 meetings)	1	-	160,000	160,000	-	-
5	Bureau meetings (back to back with other meetings)	1	-	0	-	-	-
5a	Meetings of the Bureau (free-standing, only one budgeted)	2	-	5,000	-	5,000	-
6	Meetings of the Implementation Committee, Geneva (6 meetings)	1	-	30,000	30,000	-	-
7	External expert to provide secretariat support for the implementation of the Convention and the Protocol	2	-	120,000	-	120,000	-
8.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Promotional materials	10,000	-	10,000	-
8.2		2	Secretariat travel in relation to the Work Plan	40,000	-	40,000	-
					-	-	-
8a.1	Further secretariat support for the implementation of the Convention and the Protocol	3	Consultants	60,000	-	-	60,000
8a.2		3	Promotional materials	20,000	-	-	20,000
8a.3		3	Secretariat travel in relation to the Work Plan	20,000	-	-	20,000
9	Promotion of contacts with countries outside the UNECE region	3		25,000	-	-	25,000
11	Review of the implementation of the Convention	1		0	-	-	-
12	Exchange of best practice (6 meetings)	2		6,000	-	6,000	-
13	Subregional cooperation	2		-	-	-	-
14.1	Capacity-building in EECCA countries, & others	2	Preparation of draft guidance	20,000	-	20,000	-
14.2		2	Start-up workshop in Kyrgyzstan	30,000	-	30,000	-
14.3		2	Subregional workshop in Armenia	30,000	-	30,000	-
14.4		2	Subregional workshop in Ukraine	30,000	-	30,000	-
14.5		2	National workshops	0	-	-	-
15.1	Capacity-building in the countries of Eastern Europe, the Caucasus and Central Asia, and others	3	Distribution of a relevant Russian-language journal (Environmental Expertise and EIA)	51,000	-	-	51,000
15.2		3	Training course, Tajikistan	60,000	-	-	60,000
16.1	Examination of the substantive relationship between the Convention and the Protocol	3	Workshop back to back with other meetings in Geneva	5,000	-	-	5,000
16.2		3	Preparation of a report by a consultant	10,000	-	-	10,000
17	Institutional and procedural activities	1		0	-	-	-
18.1	SEA capacity-building needs analysis	2	National and subregional needs analyses	20,000	-	20,000	-
18.2		2	Preparation of action plans	20,000	-	20,000	-
19.1	Capacity development in SEA, including creation of a capacity development manual	2	Drafting of manual	45,000	-	45,000	-
19.2		2	Subregional workshops	130,000	-	130,000	-
19.3		2	Finalization of manual	35,000	-	35,000	-
Totals				1,312,000	520,000	541,000	251,000