

# Espoo Convention on EIA in a Transboundary Context



## 6<sup>th</sup> Biannual Financial Report (within the period between 3<sup>rd</sup> and 4<sup>th</sup> meetings of the Parties)

### Introduction

The Meeting of the Parties to the Espoo Convention (the Convention on Environmental Impact Assessment in a Transboundary Context, adopted in Espoo, Finland) requested “the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next meeting of the Parties, including information on how much Parties and other participating States contributed to the budget of the Convention in cash and in kind, and on how the contributions were spent” (decision III/10, para. 10).

A small group, comprising representatives of Bulgaria, Switzerland and the United Kingdom, was formed by the Convention’s Bureau to prepare an informal paper on financing. That informal paper was presented to the Working Group on Environmental Impact Assessment (EIA) at its eighth meeting, 27-29 April 2005. The Working Group welcomed the informal paper and decided, *inter alia*, that the secretariat would report on income and expenditure on a six-monthly basis to the Bureau (MP.EIA/WG.1/2005/2).

This document is the sixth such report and it updates the first five reports, which were prepared for the periods to 30 June 2005, 31 December 2005, 30 June 2006, 31 December 2006 and 30 June 2007.

The details of income and expenditure are presented in Tables 2 to 6. Table 1 provides a summary of income and expenditure and indicates clearly, with explanations, which budget lines have been overspent and where savings have been made or are anticipated as a result of a decision made by a body under the Convention. Table 3 *bis* was added to the second report (and included in subsequent reports) at the request of the Bureau to provide a further breakdown of meeting costs.

## Summary

This document provides a narrative description of Table 1, the summary table.

The total budget for activities under the workplan, in the period between the third and fourth meetings of the Parties, is US\$ 1,312,000. The activities were assigned priorities of 1, 2 or 3 (see Table 6), with the budgets for the priorities being:

BUDGET	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 520,000	US\$ 541,000	US\$ 251,000	US\$ 1,312,000

Parties have made ‘cash’ contributions to the Espoo Trust Fund (Tables 2 and 5), either fulfilling pledges made at the third meeting of the Parties, or in response to later appeals by the secretariat. There are no outstanding pledges for the period 2004-2007. Cash contributions to date, net of the UN programme support costs (see box), total US\$ 837,930. However, some contributors have imposed conditions on their contributions, including US\$ 78,081 earmarked for priority 2 activities.

TRUST FUND INCOME	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 759,850	US\$ 78,081	-	US\$ 837,930

### Box: Programme support costs

The UN Department of Economic and Social Affairs provides the following comment on programme support costs (UN intranet, at [http://iseek.un.org/webpgdept5\\_18.asp?dept=5#6](http://iseek.un.org/webpgdept5_18.asp?dept=5#6), accessed 6 January 2006):

“In their solicitation of funds from donors, the respective substantive Divisions should inform donors that reimbursement for programme support costs shall be provided for in respect of all activities financed from trust funds. The amount of the reimbursement shall be calculated at the standard percentage rate approved by the General Assembly. Currently, the standard percentage rate is calculated as 13 per cent of the incurred expenditures in accordance with resolution 35/217 [available at <http://www.un.org/documents/ga/res/35/a35r217e.pdf>], Part V, in which the General Assembly [at its 1980 session]:

(a) took note of the report of [Advisory Committee on Administrative and Budgetary Questions] on agency support costs (A/35/544); and

(b) approved the reimbursement formula embodied in decision 80/44 of the then Governing Council of UNDP. The procedure regarding programme support costs is contained in administrative instructions ST/SGB/188, part IV, paragraph 39, and ST/AI/286.”

A review of programme support costs was undertaken by the UN Joint Inspection Unit in 2002. Their report is available at [http://www.unsystem.org/jiu/data/reports/2002/en2002\\_3.pdf](http://www.unsystem.org/jiu/data/reports/2002/en2002_3.pdf).

To date, US\$ 490,536 has been spent from the Espoo Trust Fund in accordance with the budget (Table 3, with further details in Table 3 *bis*), plus US\$ 6,593 overspent on free-standing Bureau meetings (US\$ 420) and additional meetings of the Implementation Committee because of the decision to delay the fourth meeting of the Parties by one year (US\$ 6,173). The funds have been spent on three priority 1 activities (the second meeting of the Signatories to the Protocol on Strategic Environmental Assessment (SEA), four meetings of the Working Group on EIA and eight meetings of the Implementation Committee); on six priority 2 activities (three free-standing meetings of the Bureau, secretariat travel in relation to

the workplan, an external expert to provide secretariat support, SEA capacity-building needs analysis, capacity development in SEA, including creation of a capacity development manual, and a subregional workshop in Armenia); and three priority 3 activities (a consultant to translate completed questionnaires and the external expert to provide secretariat support, further secretariat travel in relation to the workplan and promotion of contacts with countries outside the UNECE region):

TRUST FUND EXPENDITURE, excluding overspend	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 162,785	US\$ 242,540	US\$ 85,211	US\$ 490,536

The overspend on workplan activities beyond the budgeted amount totalled US\$ 6,593:

OVERSPEND	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 6,173	US\$ 420	-	US\$ 6,593

However, there have also been savings against the expected budget for some workplan activities (see Table 6 for details):

- The first meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol is not expected to be held before or at the fourth meeting of the Parties (priority 1);
- No further meetings of the Signatories to the Protocol are expected to be held before the fourth meeting of the Parties (priority 1);
- The four meetings of the Working Group on EIA have cost less than budgeted (priority 1);
- No further workshops on the exchange of good practices are expected (priority 2);
- Costs of promotional materials are not being charged to the Trust Fund (priorities 2 and 3);
- Further distribution of the Russian-language journal is expected to be without cost to the Trust Fund (priority 3);
- The activity on the examination of the substantive relationship between the Convention and the Protocol has been postponed (priority 3).

The savings to date total US\$ 269,675:

SAVINGS	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 187,215	US\$ 13,460	US\$ 69,000	US\$ 269,675

Parties and organizations have also undertaken one priority 1 workplan activity and several priority 2 activities by providing in-kind contributions (Table 4). These activities have been valued according to the budget indicated by the Meeting of the Parties. The activities were:

- Three workshops for the exchange of good practices;
- Five workshops for subregional cooperation;
- Transboundary EIA capacity-building in Eastern Europe, Caucasus and Central Asia (EECCA) countries and others (including workshops and development of guidance);
- Addressing institutional and procedural activities for the Protocol (priority 1);
- SEA capacity-building needs analysis;
- Capacity development in SEA, including creation of a capacity development manual.

Parties have also provided in-kind support to a priority 3 activity: transboundary EIA training courses in Central Asia. The in-kind contributions to date have been valued at US\$ 330,000:

IN-KIND CONTRIBUTIONS	Priority 1	Priority 2	Priority 3	TOTAL
	-	US\$ 253,000	US\$ 77,000	US\$ 330,000

The remaining expenditure expected in the period between the third and fourth meetings of the Parties, according to the budget, is:

OUTSTANDING EXPENDITURE	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 170,000	US\$ 55,869	US\$ 19,789	US\$ 245,658

The remaining activities requiring expenditure are: the fourth meeting of the Parties, the fourteenth meeting of the Implementation Committee, a capacity-building workshop for Eastern Europe, a subregional cooperation workshop for the Mediterranean Sea area, secretariat travel in relation to the workplan, and secretariat travel to promote contacts outside the UNECE region.

The priority 2 and total expenditures have both been increased by US\$ 20,000 as the lead country for the Mediterranean Sea subregional workshop has provided funding through the Trust Fund, rather than in-kind. No budget was anticipated, but the workplan assigned a cost of US\$ 20,000.

The balance in the Espoo Trust Fund (income less expenditure, including overspend) is US\$ 347,394, i.e. enough to cover the remaining activities:

TRUST FUND BALANCE	Priority 1	Priority 2	Priority 3	TOTAL
	US\$ 170,000	US\$ 55,869	US\$ 121,525	US\$ 347,394

The unallocated balance in the Espoo Trust Fund to be carried forward as an operating reserve, totalling US\$ 101,737, is the difference between the balance in the Fund and the outstanding expenditure:

UNALLOCATED BALANCE IN FUND	Priority 1	Priority 2	Priority 3	TOTAL
	-	-	-	US\$ 101,737

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**Table 1: Summary**

	By activity priority			Total US\$	
	1	2	3		
<b>Budget for period between 3rd and 4th meetings of the Parties (ECE/MP.EIA/6, annex X) (from Table 6)</b>	520,000	541,000	251,000	<b>1,312,000</b>	(a)
Contributions made to the Espoo Trust Fund, after deduction of UN programme support costs, with non-earmarked contributions being allocated first to priority 1 activities (from Table 2)	759,850	78,081	-	837,930	(b)
Expenditure from the Espoo Trust Fund for budgeted workplan activities (from Table 3), with any overspend removed (see line (d) below)	162,785	242,540	85,211	490,536	(c)
Overspend against budget on workplan activities (from Table 6) <sup>1)</sup>	6,173	420	-	6,593	(d)
Savings against budget for workplan activities (from Table 6)	187,215	13,460	69,000	269,675	(e)
In-kind contributions made, valued according to the budgeted activity cost (from Table 4) <sup>2)</sup>	-	253,000	77,000	330,000	(f)
Outstanding budget requirements ((a)-(c)-(e)-(f)) <sup>1), 3), 4)</sup>	170,000	55,869	19,789	245,658	(g)
<b>Available funds in the Espoo Trust Fund (lesser of (g) and (b)-(c)-(d))</b>	170,000	55,869	121,525	<b>347,394</b>	(h)
<b>Current shortfall against budget ((g)-(h))</b>	-	-	-	-	(i)
Outstanding pledged contributions to the Espoo Trust Fund, after deduction of UN programme support costs (from Table 2)	-	-	-	-	(j)
Outstanding, pledged in-kind contributions, valued according to the budgeted activity cost (from Table 4)	-	-	-	-	(k)
<b>Expected shortfall against budget ((g)-(h)-(i))<sup>5)</sup> or Unallocated balance in Fund, to be transferred into the operating reserve ((h)-(g))</b>	-	-	-	<b>101,737</b>	(l) (m)

**Notes**

1) Further overspend is expected on a meeting of the Implementation Committee and, possibly, a free-standing Bureau meeting, in the order of US\$5,000.

2) Some in-kind contributions were for activities for which no cost was specified in the budget, though they may have been in the workplan (ECE/MP.EIA/6, annex IX).

3) Italy paid €20,000 to the Trust Fund (US\$ 23,869 at that time) to cover the costs of a Mediterranean subregional workshop (which was initially to be paid in-kind, costing US\$ 20,000). The outstanding budget requirements (priority 2 and total) have been increased by US\$ 23,869 accordingly.

4) The outstanding budget requirements are for: the fourth meeting of the Parties, the 14th meeting of the Implementation Committee, a capacity-building workshop for Eastern Europe, a subregional cooperation workshop for the Mediterranean Sea area, secretariat travel in relation to the workplan, and secretariat travel to promote contacts outside the UNECE region

5) There being no budget shortfall, all activities should be completed, as required.

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**Table 2: Income to the Espoo Trust Fund (US\$)**

(at 4 June 2004)

Exchange rates for pledges:

US\$/euro 1.22

US\$/CAD\$ 0.73

1 Party	2 Description of pledge in ECE/MP.EIA/6, plus additional contributions	3 2004-2005		4 2005-2006		5 2006-2007		6 2007-8	7 8 bis
		Pledge	Receipt	Pledge	Receipt	Pledge	Receipt	Receipt	
Albania	none	0	0	0	0	0	0	0	0
Armenia	none	0	0	0	0	0	0	0	0
Austria	5 shares per year for three years. Additional, non-pledged contribution of €10,000 in 2007.	5,000	5,000	5,000	5,000	5,000	5,000	14,749	
Azerbaijan	none	0	0	0	0	0	0	0	0
Belarus	none - became Party in 2005	0	0	0	0	0	0	0	0
Belgium	none	0	0	0	0	0	0	0	0
Bulgaria	A contribution in-kind to host a sub-regional cooperation meeting in 2005.	0	0	0	0	0	0	0	0
Canada	A total of Can\$ 15,000 for the three-year period, ear-marked for activities related to the Espoo Convention only. An initial Can\$ 5,000 will be provided in 2004 to be followed by a Can\$ 5,000 contribution for each of the subsequent 2 years. (This contribution is subject to currency exchanges.)	3,650	8,030	3,650	0	3,650	4,390	0	
Croatia	A total of 5 shares for the three-year period.	2,000	1,989	2,000	1,940	1,000	1,305	0	
Cyprus	Additional, non-pledged contribution of US\$ 3,000.	0	3,000	0	0	0	0	0	0
Czech Republic	5 shares in 2005 earmarked for the activity "Production of a capacity development manual, & provision of training, to support implementation of the SEA" & 5 shares in 2006 (not earmarked).	0	0	5,000	10,000	5,000	0	0	
Denmark	none	0	0	0	0	0	0	0	0
Estonia	none. Non-pledged contribution of US\$ 5,000 in 2006.	0	0	0	0	0	5,000	0	
Finland	A total of 30 to 35 shares for the three-year period. Additional, non-pledged contribution of €5,000 in 2007.	10,833	8,251	10,833	15,000	10,833	12,230	6,667	
France	none, Non-pledged contribution of €5,000 in 2007.	0	0	0	0	0	0	6,757	
Germany	A total of 30 shares for the three-year period, half of which to be paid in 2004. Half of pledge ear-marked for Implementation Committee activities, and half for participation in meetings by NGOs and countries with economies in transition. Additional, non-pledged contributions in 2006 and 2007.	15,000	15,000	15,000	15,000	0	14,980	14,000	
Greece	none	0	0	0	0	0	0	0	0
Hungary	3 shares per year for three years, plus an additional unpledged contribution of 2 shares in 2006 for an EECCA capacity-building workshop, and 3 shares in 2007.	3,000	2,981	3,000	2,980	3,000	5,000	3,000	
Ireland	Approximately 12 shares (€10,000) per year for three years, earmarked for capacity development in SEA, including creation of a capacity development manual; sub-activity: drafting of manual.	12,200	13,210	12,200	12,937	12,200	11,848	0	
Italy	Approximately 32 shares (€25,000) in 2004, plus approximately €20,000 earmarked for sub-regional cooperation activities (Mediterranean meeting). Italy made a further unpledged contribution of €20,000 in 2007.	30,500	30,843	24,400	23,869	0	0	27,027	
Kazakhstan	none	0	0	0	0	0	0	0	0
Kyrgyzstan	none	0	0	0	0	0	0	0	0
Latvia	none	0	0	0	0	0	0	0	0
Liechtenstein	none	0	0	0	0	0	0	0	0

Party	Description of pledge in ECE/MP.EIA/6, plus additional contributions	2004-2005		2005-2006		2006-2007		2007-8
		Pledge	Receipt	Pledge	Receipt	Pledge	Receipt	Receipt
Lithuania	none	0	0	0	0	0	0	0
Luxembourg	Additional, non-pledged contribution of €8,000.	0	10,855	0	0	0	0	0
Moldova	none	0	0	0	0	0	0	0
Netherlands	Approximately 12 shares (€10,000) per year for three years, earmarked for the activity "Compliance with and implementation of the Convention".	12,200	38,911	12,200	0	12,200	0	0
Norway	20 to 25 shares per year for three years, subject to approval. Additional, non-pledged contributions of NOK 115,000 in 2006 to support participation by countries with economies in transition, and of NOK 55,000 in 2007.	22,500	10,846	22,500	39,872	22,500	17,428	10,002
Poland	2 shares in 2004.	2,000	2,000	0	0	0	0	0
Portugal	none	0	0	0	0	0	0	0
Romania	5 shares in 2005 and again in 2006. Additional, non-pledged contribution of US\$ 5,865 provided from balance of Inquiry Procedure budget.	0	0	5,000	5,000	5,000	5,000	5,865
Slovakia	none	0	0	0	0	0	0	0
Slovenia	3 shares per year from 2005.	0	0	3,000	3,000	3,000	3,000	3,000
Spain	none	0	0	0	0	0	0	0
Sweden	A total of US\$ 20,000 for the three-year period (equivalent to 20 shares), in cash or in kind, earmarked for sub-regional cooperation around the Baltic Sea.	0	0	0	0	0	0	0
Switzerland	15 shares in 2004, plus contributions in kind. 15 to 20 shares per year in subsequent years, subject to approval. Additional, non-pledged contributions of SFr. 10,000 earmarked for capacity-building workshop in Ukraine, of US\$ 14,142 for capacity-building workshop in Armenia, and of SFr. 50,000 for study tour (last outside workplan & budget)	15,000	14,975	17,500	25,081	17,500	31,617	17,475
The former Yugoslav Republic of Macedonia	In-kind contribution to subregional cooperation workshop, as indicated in Table 4.	0	0	0	0	0	0	0
Ukraine	none. Non-pledged contribution of US\$ 5,865 provided from balance of Inquiry Procedure budget.	0	0	0	0	0	0	5,865
United Kingdom of Great Britain and Northern Ireland	50 shares in 2004, with similar contributions indicated in 2005 & 2006 but subject to approval. The United Kingdom also contributed US\$ 40,000 to the Secretariat's extrabudgetary post in 2005 (outside workplan & budget).	50,000	50,000	50,000	50,000	50,000	50,000	0
European Community	Approximately 61 shares (€50,000) in 2004. Additional, non-pledged contributions of the same amount in 2005, 2006 and 2007.	61,000	60,360	0	58,480	0	63,776	<b>73,746</b>
<b>Total</b>		<b>244,883</b>	<b>276,251</b>	<b>191,283</b>	<b>268,159</b>	<b>150,883</b>	<b>230,574</b>	<b>188,154</b>
13% UN programme support costs			35,913		34,861		29,975	24,460
<b>Net Income</b>			<b>240,338</b>		<b>233,299</b>		<b>200,600</b>	<b>163,694</b>

**Notes:**

All Parties to the Convention are listed (column 1), whether or not contributing to the Trust Fund. Shares (in column 2) are US\$ 1,000 in value. UN programme support costs at 13% are deducted at the foot of this table.

The distribution of pledges (columns 3, 5 and 7) across the three-year period is approximate where not specified in the pledge (column 2); the amounts of the annual pledges are approximate if the total pledge is not in US\$.

Column 8 bis has been added in response to the delay of the fourth meeting of the Parties from 2007 to 2008.

Income by date is presented in Table 5.

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**Table 3: Expenditure from the Espoo Trust Fund**

#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Totals by priority		
						1	2	3
1	4th meeting of the Parties to the Espoo Convention, Bucharest	1	19-21 May 2008	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA venue, etc. (speakers & secretariat, travel & DSA)				
	<u>Activity total</u>							-
3.1	Meetings of the Signatories to the Protocol, Geneva	1	2nd meeting (costs halved with 8th meeting of Working Group), 25-26 Apr. 2005	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182			
3.2			3rd meeting - not before 4th meeting of the Parties					
	<u>Activity total</u>							22,957
4.1	Meetings of Working Group on EIA, Geneva	1	8th meeting (costs halved with 2nd meeting of Signatories), 27-29 Apr. 2005	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182			
4.2			9th meeting, 3-6 Apr. 2006	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	27,404 7,157 2,719			
4.3			10th meeting, 21-23 May 2007	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	19,371 5,268 3,128			
4.4			11th meeting, 21-23 Nov. 2007	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	13,031 5,531 3,262			
	<u>Activity total</u>							109,828
5a.1	Meetings of the Bureau (free-standing, only one budgeted)	2	1st meeting, 8-10 Nov. 2004, Geneva	CIT travel & DSA	3,310			
5a.2			2nd meeting, 21 Feb. 2005, Geneva		1,453			
5a.3			3rd meeting, 13-14 Feb. 2006, Bucharest		657			
	<u>Activity total</u>							5,420
6.1	Meetings of the Implementation Committee, Geneva	1	6th meeting, 3-5 Nov. 2004	CIT travel & DSA	5,657			
6.2			7th meeting, 3-4 Mar. 2005, Helsinki		4,079			
6.3			8th meeting, 14-15 Nov. 2005		4,812			
6.4			9th meeting, 6-8 Feb 2006		5,492			
6.5			10th meeting, 9-10 Oct. 2006, Berlin		4,852			
6.6			11th meeting, 13-14 Feb. 2007, Skopje		1,400			
6.7			12th meeting, 26-28 June 2007		4,547			
6.8			13th meeting, 30 Oct.-1 Nov. 2007		5,334			
6.9			14th meeting, 15-17 Jan. 2008					
	<u>Activity total</u>							36,173
7	External expert to provide secretariat support for the implementation of the Convention and the Protocol	2	Extrabudgetary post, 2006		120,000			
	<u>Activity total</u>							120,000
8.2.1	Further secretariat support: Secretariat travel in relation to the Workplan	2	EIA capacity building (Issyk-Kul, Kyrgyzstan), 5-7 Oct. 2004	Secretariat travel & DSA	3,076			
8.2.2			SEA Manual review (Brussels), 2 Dec. 2004		1,070			



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#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Totals by priority		
						1	2	3
8.2.3			Subregional cooperation (Belgrade), 16-17 Dec. 2004 (paid by hosts)		-			
8.2.4			Workshop on SEA (Berne), 19 Jan. 2005		350			
8.2.5			Implementation Committee (Helsinki), 3-4 Mar. 2005		1,504			
8.2.6			Caspian Protocol (Moscow), 9-11 Mar. 2005		1,495			
8.2.7			Caspian Sea training (Baku), 4-5 Apr. 2005		2,674			
8.2.8			Transboundary public participation (Mistelbach, Austria), 14 Apr. 2005		930			
8.2.9			SEA Manual review (Tbilisi), 21-22 Apr. 2005		1,103			
8.2.10			Subregional cooperation in transboundary river basins (Dushanbe), 20 May - 4 June 2005		3,099			
8.2.11			Subregional cooperation (Ohrid), 30 June - 1 July 2005		1,175			
8.2.12			Black Sea Commission (Istanbul), 7-9 Sept. 2005		1,253			
8.2.13			Subregional cooperation (Stockholm), 20-21 Oct. 2005		1,304			
8.2.14			PlanNet seminar on urban SEA (Brussels), 24-25 Oct. 2005 (paid by hosts)		-			
8.2.15			Caspian Protocol (Moscow), 24-26 Oct. 2005		1,658			
8.2.16			Subregional cooperation (Koprivshitz, Bulgaria), 3-4 Nov. 2005		1,093			
8.2.17			Kick-off seminar for transboundary EIA pilot project between Kazakhstan and Kyrgyzstan (Bishkek), 26-27 Jan. 2006		4,288			
8.2.18			Meeting with Swiss Federal Office for the Environment to discuss funding of activities under the Convention (Berne), 9 February 2006		332			
8.2.19			Bureau (Bucharest), 13-14 February 2006		1,328			
8.2.20			SEA Capacity-Building (Minsk), 21-22 Mar. 2006		1,686			
8.2.21			Caspian Protocol (Moscow), 7-8 June 2006		1,893			
8.2.22			Subregional cooperation (Copenhagen), 8 Nov. 2006		1,079			
8.2.23			Implementation Committee (Skopje), 13-14 Feb. 2007		1,322			
8.2.24			Transboundary EIA training course (Dushanbe), 19-21 Feb. 2007		4,081			
8.2.25			Environmental Action Plan Task Force workshop (Brussels), 13-14 Mar. 2007		1,389			
8.2.26			Meeting to review Swiss EIA capacity-building in Central Asia (Lausanne, Switzerland), 15 Mar. 2007		206			
8.2.27			EU EIA/SEA training programme workshop (Brussels), 28 Mar. 2007 (mostly paid by hosts)		152			
			<u>Activity total</u>					<u>39,540</u>
8a.1.1	Further secretariat support: Consultants	3	Translation of completed questionnaires from Russian to English		2,000			
8a.1.2			Further translation of questionnaires from Russian to English		595			
8a.1.3			Towards extrabudgetary post, Jan.-Jun. 2007		15,000			
8a.1.4			Towards extrabudgetary post, Jul.-Dec. 2007		42,405			
			<u>Activity total</u>					<u>60,000</u>
8a.3.1	Further secretariat support: Secretariat travel in relation to the Workplan	3	Implementation Committee (Berlin), 9-10 October 2006		972			
8a.3.2			Conference on Enforcement of Environmental Regulations (Carrigaline, Co. Cork, Ireland), 29-30 Mar. 2007 (paid by hosts)		-			
8a.3.3			Workshop on transboundary EIA capacity-building in Caucasus (Yerevan), 17-19 Sept. + Workshop on the Espoo Convention in the Russian Federation (Moscow), 21 Sept. 2007		3,753			
8a.3.4			Meetings of Advisory Groups on ICZM and Land-based Sources under the Black Sea Commission (Istanbul, Turkey), 3-5 Oct. 2007		1,209			
8a.3.5			Ministerial Conference "Environment for Europe" (side- events) (Belgrade), 10-12 Oct. 2007		1,692			
8a.3.6			Conference on SEA and climate change (Lisbon), 24 Oct. 2007 (costs largely to be reimbursed by hosts)		255			
8a.3.7			Workshop on Kazakhstan-Kyrgyzstan pilot project for capacity-building in EECCA region, plus EIA training workshop (Bishkek), 26-30 Nov. 2007		1,597			
8a.3.8			Workshop on public participation in strategic decision- making (Sofia), 3-4 Dec. 2007 (paid by Aarhus Convention Trust Fund)		-			

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#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Totals by priority		
						1	2	3
8a.3.9			Seminar on SEA and integration into decision-making (University of Padova, Italy), 6 Dec. 2007 (paid by hosts)		-			
8a.3.10			Further travel in January-May 2008					
	<u>Activity total</u>							<u>9,477</u>
9.1	Promotion of contacts with countries outside the UNECE region	3	Caspian Sea training (Gorgan, Islamic Republic of Iran), 11-12 July 2005		2,158			
9.2			IAIA Conference on SEA (Prague), 26-30 Sept. 2005		1,779			
9.3			IAIA Annual Conference (Stavanger), 22-26 May 2006		1,909			
9.4			IAIA Annual Conference (Stavanger), 20-23 May 2006		1,395			
9.5			IAIA Annual Conference (Seoul), 1-8 Jun. 2007		4,047			
9.6			IAIA Annual Conference (Seoul), 3-8 Jun. 2007		3,364			
9.7			OECD/DAC SEA Task Team meeting (Paris), 4-5 Sept. 2007		1,081			
9.8			Further travel in January-May 2008					
	<u>Activity total</u>							<u>15,734</u>
13.5	Subregional cooperation	2	Workshop for Mediterranean subregion, 2008					
								-
14.3	Capacity-building in countries of Eastern Europe, Caucasus and Central Asia, & others	2	Subregional workshop in Armenia, 17-19 Sept. 2007. Advance payment indicated; balance outstanding, estimated at US\$5,800		28,000			
14.4			Subregional workshop in Eastern Europe, 2008					
								<u>28,000</u>
18.2	SEA capacity-building needs analysis	2	Preparation of action plans (strategies)		20,000			
	<u>Activity total</u>							<u>20,000</u>
19.3	Capacity development in SEA, including creation of a capacity development manual	2	Finalization of manual		30,000			
	<u>Activity total</u>							<u>30,000</u>
<b>Totals</b>					<b>497,129</b>	<b>168,958</b>	<b>242,960</b>	<b>85,211</b>

*Costs for meeting participant are broken down by travel and DSA in Table 3 bis.  
Estimated amounts shown in italics.*

The Mediterranean subregional workshop will be included in this table. Though no budget was specified for this activity (which was costed at US\$20,000, to be paid in-kind), Italy provided €20,000 to the Trust Fund to cover the costs.

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**Table 3 bis : Meeting costs breakdown (where known)**

#	Activity	Priority	Sub-activity	Cost type	Amount US\$	Breakdown (US\$)	
						Travel	DSA
1	4th meeting of the Parties to the Espoo Convention, Bucharest	1	19-21 May 2008	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA venue, etc. (speakers & secretariat, travel & DSA)	- - - -		
	<u>Activity total</u>				-	-	-
3.1	Meetings of the Signatories to the Protocol, Geneva	1	2nd meeting (costs halved with 8th meeting of Working Group), 25-26 Apr. 2005	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182	5,485 1,607 862	10,811 2,872 1,320
	<u>Activity total</u>				22,957	7,954	15,003
4.1	Meetings of Working Group on EIA, Geneva	1	8th meeting (costs halved with 2nd meeting of Signatories), 27-29 Apr 2005	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	16,296 4,479 2,182	5,485 1,607 862	10,811 2,872 1,320
4.2			9th meeting, 3-6 Apr. 2006	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	27,404 7,157 2,719	10,717 3,433 1,655	16,687 3,724 1,064
4.3			10th meeting, 21-23 May 2007	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	19,371 5,268 3,128	8,972 2,591 1,640	10,399 2,678 1,488
4.4			11th meeting, 21-23 November 2007	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	13,031 5,531 3,262	6,300 2,786 1,737	6,731 2,745 1,525
	<u>Activity total</u>				88,003	47,785	62,043
5a.1	Meetings of the Bureau (free-standing, only one budgeted), Geneva	2	1st meeting, 8-10 Nov. 2004	CIT travel & DSA	3,310	750	2,560
5a.2			2nd meeting, 21 Feb. 2005		1,453	750	703
5a.3			3rd meeting, 13-14 Feb. 2006, Bucharest		657	-	657
	<u>Activity total</u>				5,420	1,500	3,920
6.1	Meetings of the Implementation Committee, Geneva	1	6th meeting, 3-5 Nov. 2004	CIT travel & DSA	5,657	2,349	3,308
6.2			7th meeting, 3-4 Mar. 2005, Helsinki		4,079	2,119	1,960
6.3			8th meeting, 14-15 Nov. 2005		4,812	1,957	2,855
6.4			9th meeting, 6-8 Feb 2006		5,492	2,086	3,406
6.5			10th meeting, 9-10 Oct 2006, Berlin		4,852	2,482	2,370
6.6			11th meeting, 13-14 Feb 2007, Skopje		1,400	828	572
6.7			12th meeting, 26-28 June 2007	incl. Prof. Terwindt	4,547	2,674	1,873
6.8			13th meeting, 30 Oct-1 Nov 2007	incl. consultant	5,334	2,915	2,419
6.9			14th meeting, 15-17 Jan 2008		-		
	<u>Activity total</u>				36,173	17,410	18,763

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**Table 4: In-kind contributions**

Indicated value (US\$) is that indicated in the current budget (ECE/MP.EIA/6, annex X); the total value according to the budget is presented in the summary Table 1.

In the 'notes' column, 'workplan' refers to ECE/MP.EIA, annex IX.

#	Activity	Priority	Sub-activity	Date	Source	Value (US\$)	Notes
12.1	Exchange of best practice	2	Workshop on trans-boundary projects	Apr-05	Switzerland	1,000	According to budget
12.2		2	Workshop on post-project analysis	Apr-06	Bulgaria	1,000	According to budget
12.3		2	Workshop on methodologies	May-07	Tajikistan	1,000	According to budget
13.1	Subregional cooperation	2	Belgrade, for South-East Europe	Dec-04	Serbia & Montenegro Switzerland	0	No budget, but workplan indicates costs of US\$20,000
13.2			Ohrid, for South-East Europe	June-July 05	The former Yugoslav Republic of Macedonia Switzerland	0	No budget, but workplan indicates costs of US\$20,000
13.3			Stockholm, for the Baltic Sea region	Oct-05	Sweden, Denmark, Finland & Estonia	0	No budget, but workplan indicates costs of US\$20,000
13.4			Koprivshitzta, for Black Sea and Balkans region	Nov-05	Bulgaria	0	No budget, but workplan indicates costs of US\$20,000
13.5			Copenhagen, for the Baltic Sea region	Nov-06	Sweden, Denmark, Finland & Estonia	0	No budget, but workplan indicates costs of US\$20,000
13.6	Subregional cooperation	2	Workshop for Mediterranean subregion		Italy, Croatia & UNEP/MAP	0	No budget, but workplan indicates costs of US\$20,000; Italy later made Euro 20,000 contribution to Trust Fund to cover this activity.
14.1	Capacity-building in countries of Eastern Europe, Caucasus and Central Asia, & others	2	Preparation of draft guidance	2004	OSCE & Switzerland	20,000	According to budget
14.2		2	1st workshop (Kyrgyzstan)	Oct-04	OSCE & Switzerland	30,000	According to budget
14.5		2	National workshops ...			0	No budget, but workplan indicates costs of US\$5,000 per meeting
15.1	Capacity-building in the countries of Eastern Europe, Caucasus and Central Asia, and others	3	Distribution of a relevant Russian-language journal (Environmental Expertise and EIA)		NordStream, for first of three years	17,000	According to budget
15.2		3	Training course, Tajikistan (and in other Central Asian States)		Switzerland	60,000	According to budget
17	Institutional and procedural activities for the Protocol	1			Germany, Netherlands & UK	0	No budget
18.1	SEA capacity-building needs analysis	2	National and subregional needs analyses	2004	UNDP/RBEC	20,000	According to budget
19.1	Capacity development in SEA, including creation of a capacity development manual	2	Drafting of manual	Summer 2004-April 2005	REC-CEE	45,000	According to budget
19.2		2	Subregional workshops	Dec-04 - Apr-05	REC-CEE	130,000	According to budget
19.3		2	Finalization of manual	Spring 2005	REC-CEE	5,000	Remaining US\$30,000 from Espoo Trust Fund
<b>Totals</b>						<b>330,000</b>	

**Outstanding pledged in-kind contributions:**

None						0
<b>Totals</b>						-

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**Table 5: Income to the Espoo Trust Fund by date (US\$)**

Income for (a) workplan budget and (b) other

**(a) Income for workplan budget**

<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>
<b>2004</b>			
29 April	Italy	USD30842.5	30,843
25 May	Norway	USD10846.49	10,846
02 July	European Commission	USD60360	60,360
09 August	Germany	USD15000	15,000
21 September	Hungary	USD2980.5	2,981
13 October	Finland	EUR6700	8,251
06 December	United Kingdom	USD50000	50,000
10 December	Croatia	EUR1500	1,989
21 December	Poland	USD2000	2,000
<b>2005</b>			
05 January	Austria	USD5000	5,000
10 January	Switzerland	USD14975	14,975
12 January	Luxembourg	EUR8000	10,855
20 February	Germany (2nd payment)	USD15000	15,000
15 March	Ireland	EUR10000	13,210
22 March	Cyprus	USD3000	3,000
08 April	Netherlands (all payments)	EUR30000	38,911
15 April	Canada (main payment)	USD8029.55	8,030
26 April	Hungary (2nd payment)	USD2979.5	2,980
02 May	Switzerland (2nd payment)	USD17475	17,475
04 May	Croatia (2nd payment)	EUR1500	1,940
10 May	United Kingdom (2nd payment)	USD50000	50,000
17 May	Ireland (2nd payment)	EUR10000	12,937
14 June	Romania	USD5000	5,000
05 October	Slovenia	USD3000	3,000
24 October	Italy (Mediterranean workshop)	GBP13534	23,869
22 November	European Commission (2nd)	EUR50000	58,480
30 November	Austria (2nd)	USD5000	5,000
02 December	Finland (2nd)	USD15000	15,000
27 December	Switzerland (Ukraine workshop)	USD7606	7,606
<b>2006</b>			
07 March	Switzerland (3rd payment)	USD17475	17,475
21 March	Ireland (3rd payment)	EUR10000	11,848
19 April	Croatia (3rd payment)	USD1304.82	1,305
02 May	Norway (2nd payment)	USD39872.41	39,872
08 May	Hungary (3rd payment)	USD3000	3,000
08 May	Hungary (EECCA workshop)	USD2000	2,000
17 May	Romania (2nd payment)	USD5000	5,000
01 June	Finland (3rd payment)	USD12230	12,230
26 July	Czech Republic (1st & 2nd)	USD10000	10,000

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<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>
11 August	European Commission (3rd)	EUR50000	63,776
15 September	Germany (3rd payment)	USD14980	14,980
03 October	Norway (for participants)	USD17427.68	17,428
15 November	United Kingdom (3rd payment)	USD50000	50,000
17 November	Estonia	USD5000	5,000
17 November	Austria (3rd payment)	USD5000	5,000
04 December	Switzerland (Armenia workshop)	USD14141.89	14,142
end of year	Romania (from Inquiry Cie)		5,865
end of year	Ukraine (from Inquiry Cie)		5,865

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12 April	Canada (balance)	USD4390.22	4,390
20 April	Finland	EUR5000	6,667
08 June	Slovenia	USD6000	6,000
03 July	Italy	EUR20000	27,027
24 July	France	EUR5000	6,757
31 August	Hungary	USD3000	3,000
01 November	Norway	USD10002.36	10,002
21 November	Germany	USD14000	14,000
06 December	Switzerland	USD17475	17,475
10 December	Austria	EUR10000	14,749
21 December	European Commission	EUR50000	73,746
<b>TOTAL</b>			<b>963,137</b>

**(b) Other contributions (not in workplan budget)**

<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>	
23 March 2005	United Kingdom	USD40000	40,000	for extra-budgetary post
06 January 2006	Switzerland	CHF50000	38,168	for study tour, April 2006

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**Table 6: Budget, after ECE/MP.EIA/6, annex X (US\$)**

#	Activity	Priority	Sub-activity	Budget (US\$)	Savings	Overspend	Explanation / Justification
1	4th meeting of the Parties to the Espoo Convention, Bucharest	1	-	170,000	0	0	-
2	1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Geneva (if to be held before the 4th meeting of the Parties to the Convention)	1	-	40,000	40,000	0	Meeting not expected to be held in this budget period, following decision by Working Group on EIA.
3	Meetings of the Signatories to the Protocol, Geneva (3 meetings in budget, but decision III/12 limits to 2 meetings)	1	-	120,000	97,043	0	No further meetings expected in this budget period, following decision by Working Group on EIA. Only 1 meeting held.
4	Meetings of Working Group on EIA, Geneva (4 meetings)	1	-	160,000	50,172	0	Actual savings on meetings.
5	Bureau meetings (back to back with other meetings)	1	-	0	0	0	Not applicable.
5a	Meetings of the Bureau (free-standing, only one budgeted)	2	-	5,000	0	420	As decided by the Bureau.
6	Meetings of the Implementation Committee, Geneva (6 meetings)	1	-	30,000	0	6,173	Overspend arising from delay in the 4th meeting of the Parties and the continuing work of the Committee.
7	External expert to provide secretariat support for the implementation of the Convention and the Protocol	2	-	120,000	0	0	Completed.
8.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Promotional materials	10,000	10,000	0	Not required.
8.2		2	Secretariat travel in relation to the Work Plan	40,000	460	0	Closing balance on budget line
8a.1		3	Consultants	60,000	0	0	Completed.
8a.2	Further secretariat support for the implementation of the Convention and the Protocol	3	Promotional materials	20,000	20,000	0	Not required.
8a.3		3	Secretariat travel in relation to the Work Plan	20,000	0	0	-
9	Promotion of contacts with countries outside the UNECE region	3	-	25,000	0	0	-
11	Review of the implementation of the Convention	1	-	0	0	0	Not applicable.
12	Exchange of best practice (6 meetings)	2	-	6,000	3,000	0	No further workshops expected.
13	Subregional cooperation	2	-	0	0	0	Not applicable.
14.1	Capacity-building in EECCA countries, & others	2	Preparation of draft guidance	20,000	0	0	Completed.
14.2		2	Start-up workshop in Kyrgyzstan	30,000	0	0	Completed.
14.3		2	Subregional workshop in Armenia	30,000	0	0	Balance of payment outstanding.
14.4		2	Subregional workshop in Ukraine	30,000	0	0	-
14.5		2	National workshops	0	0	0	Completed.
15.1	Capacity-building in the countries of Eastern Europe, the Caucasus and Central Asia, and others	3	Distribution of a relevant Russian-language journal (Environmental Expertise and EIA)	51,000	34,000	0	First of three years funded in-kind, from summer 2007. Further issues not funded.
15.2		3	Training course, Tajikistan	60,000	0	0	Completed.
16.1	Examination of the substantive relationship between the Convention and the Protocol	3	Workshop back to back with other meetings in Geneva	5,000	5,000	0	Activity postponed by Working Group on EIA
16.2		3	Preparation of a report by a consultant	10,000	10,000	0	
17	Institutional and procedural activities	1	-	0	0	0	Completed.
18.1	SEA capacity-building needs analysis	2	National and subregional needs analyses	20,000	0	0	Completed.
18.2		2	Preparation of action plans	20,000	0	0	Completed.
19.1	Capacity development in SEA, including creation of a capacity development manual	2	Drafting of manual	45,000	0	0	Completed.
19.2		2	Subregional workshops	130,000	0	0	Completed.
19.3		2	Finalization of manual	35,000	0	0	Completed.
<b>Totals</b>				<b>1,312,000</b>	<b>269,675</b>	<b>6,593</b>	