



**5<sup>th</sup> Biannual Financial Report: period to 31 December 2010  
(between 4<sup>th</sup> and 5<sup>th</sup> sessions of the Meeting of the Parties)**

## **Introduction**

The Meeting of the Parties to the Espoo Convention (the Convention on Environmental Impact Assessment (EIA) in a Transboundary Context, adopted in Espoo, Finland) requested, at its fourth session, “the secretariat to continue to prepare and submit to the Bureau biannual reports with the view to assisting in the preparation of the report to the fifth meeting of the Parties” (decision IV/8, para. 9). The Meeting further requested “the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next meeting of the Parties, based on the information contained in the biannual reports” (decision IV/8, para. 13)

This document is the **fifth** report in the period between the fourth and fifth sessions of the Meetings of the Parties; it covers the period to 31 December 2010. The first part of the report sets out (cash) income, in-kind contributions and (cash) expenditure in the reporting period, as well as the balance in the Espoo Convention’s Trust Fund at the end of the period. The second part of the report sets the above in the context of the budget, indicating expected cash and in-kind contributions and expected expenditure. The report addresses work under the Convention, including its Protocol on Strategic Environmental Assessment (SEA).

As described later, the pledges made at the fourth session of the Meeting of the Parties appear at present to be insufficient to cover the budget. The Parties are invited to come forward with further contributions, noting that the Meeting of the Parties (decision IV/8, paras. 7-8) encouraged:

- (a) Parties that have so far not pledged anything to make contributions during the current and future budget cycles; and
- (b) Parties that have so far only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.

The Chair of the Bureau wrote to a number of Parties early in 2009 for this purpose, as requested by the Meeting of the Parties. Parties contacted have made since unpledged contributions, though these contributions were not necessarily in response to the letter by the Chair.

## **1. Income and expenditure to date**

Table 1 presents the income and expenditure, from the fourth session of the Meeting of the Parties to 31 December 2010.

Parties have made ‘cash’ contributions to the Espoo Convention’s Trust Fund (Tables A.1 and A.2), either fulfilling pledges made at the fourth meeting of the Parties, or in response to later

appeals by the secretariat and the Chair of the Bureau. There are two **outstanding (i.e. yet to be fulfilled) pledges** for 2009 (Portugal—EUR 5,000; Romania—US\$ 6,000) and two for 2010 (Latvia—EUR 1,000; Portugal—EUR 5,000).

The activities under the workplan were assigned priorities 1, 2 or 3 in the budget (decision IV/8). The Czech Republic and Slovenia have imposed conditions on their contributions, with US\$ 9,000, less programme support costs, earmarked for priority 2 activities. Germany, Norway and Switzerland have provided additional unpledged contributions, earmarked primarily to support participation by countries with economies in transition (priority 1).

**Table 1. Income and expenditure (US\$)**

	<i>Amount by priority</i>			
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Total</i>
(a) Contributions made to the Trust Fund, after deduction of United Nations programme support costs, with non-earmarked contributions being allocated first to priority 1 activities (from Tables A.1 and A.2)	707,519	7,830	-	715,349
(b) Funds for carried-over activities (see text)	-	53,869	-	53,869
<b>(c) Total income to the Trust Fund in the period ((a)+(b))</b>	<b>707,519</b>	<b>61,699</b>	<b>-</b>	<b>769,218</b>
(d) Expenditure from the Trust Fund for budgeted workplan activities (from Tables A.3 and A.4)	532,482	79,269	-	611,751
<b>(e) Trust Fund balance: income less expenditure in the period ((c)-(d))</b>	<b>175,037</b>	<b>- 17,570</b>	<b>-</b>	<b>157,467</b>
(f) In-kind contributions made, valued according to the budgeted activity cost (from Table A.5)	-	273,255	-	273,255

Two priority 2 activities were not completed within the previous intersessional period and were therefore carried forward, together with their budget: a capacity-building workshop for Eastern Europe (US\$ 30,000) and a subregional cooperation workshop for the Mediterranean Sea (US\$ 23,869) (decision IV/8, annex, para. 12). Both of these activities have now been completed.

The cash income together with the funds for the carried-over activities totalled US\$ 769,218.

The secretariat has spent from the Trust Fund in accordance with the budget (Table A.3, with further details in Table A.4). The funds have been spent on eleven priority 1 activities (three meetings of the Working Group on EIA, five sessions of the Implementation Committee, the independent review of Ukraine, the technical assistance to Armenia, and the external expert to provide secretariat support), and on six priority 2 activities (the postponed capacity-building workshop for Eastern Europe, two free-standing meetings of the Bureau, a seminar on legislation and procedures for the implementation of the Convention, a seminar on climate change and EIA and SEA, and secretariat travel in relation to the workplan).

There has not been any overspend on workplan activities beyond the budgeted amount for a budget line. The 16<sup>th</sup>, 17<sup>th</sup> and 20<sup>th</sup> sessions of the Implementation Committee cost more than budgeted; costs were expected to decline because one less country (Azerbaijan) is to receive

financial support, in accordance with the criteria adopted by the Committee on Environmental Policy (ECE/CEP/155, annex I), but Germany made an additional contribution partly to ensure Azerbaijan's continued participation. Though the total costs of financial support for the 12<sup>th</sup> meeting of the Working Group on EIA were below budget, the costs of participation by representatives of non-governmental organizations were slightly over budget; similarly for the 13<sup>th</sup> meeting of the Working Group, the costs of participation of non-ECE States was over budget but the overall meeting costs were below budget .

The expenditure totalled US\$ 611,751, leaving a balance in the Trust Fund of US\$ 157,467.

Parties, other States and organizations have also undertaken 13 priority-2 workplan activities by providing in-kind contributions (Table A.5): a subregional cooperation meeting in Bulgaria for the countries of South-Eastern Europe; a subregional training workshop on strategic environmental assessment (SEA) for the countries of South-Eastern Europe; a subregional workshop on the outcome of a pilot project in Central Asia; a seminar on large-scale projects; a subregional cooperation meeting in Lithuania for the countries of the Baltic Sea area; a seminar on legislation and procedures; a national SEA awareness-raising workshop held in Slovenia; a subregional cooperation meeting in Tunis for the countries of the Mediterranean Sea area; a two-day national seminar on implementation of the Convention in Tajikistan; a seminar on EIA of large energy projects in the Black Sea area; a pilot project in Eastern Europe (Belarus-Lithuania, 2009-2010); a pilot project in Central Asia (Kazakhstan-Kyrgyzstan, 2006-2009); and the seminar on climate change. These activities have been valued at US\$ 273,255 in total, according to the budget indicated by the Meeting of the Parties. An additional subregional cooperation meeting for the countries of South-Eastern Europe was held in Montenegro in December 2009, following that country's ratification of both the Convention and its Protocol; the only expenditure from the Trust Fund for this workshop was to cover attendance by the secretariat.

Funds through the Environment and Security Initiative have been disbursed to support a pilot project in Belarus, which received Government approval in June 2010 and was completed at the end of December 2010. Funds from the same source are expected to support in 2011 the planned subregional workshop for the Caucasus and the planned country-specific performance review (technical assistance) for Azerbaijan.

In addition, a separate operating reserve of US\$ 262,862 has been created by carrying forward unspent funds from the period between the third and fourth sessions of the Meeting of the Parties, as decided by the Meeting of the Parties (decision IV/8, annex, para. 14). The operating reserve provides contingency funding to ensure continued operations under the Convention in the event of unanticipated problems, for example delayed receipt of contributions.

## 2. The budgetary setting

Table 2 presents the budgetary requirements for the period between the fourth and fifth sessions of the Meeting of the Parties, identifying how much of the budget has already been covered by expenditure and in-kind contributions. The calculation of the outstanding budgetary requirements also takes into account savings that have been made. The savings to date are from the organization of the postponed capacity-building workshop for Eastern Europe at US\$ 7,169 below budget, and from the organization of an independent review in Ukraine and technical assistance in Armenia, with total savings of US\$ 24,552. A saving of

US\$ 3,869 has been identified for the Tunis workshop, as the budget available (US\$ 23,869) was larger than the US\$ 20,000 assigned by default to such workshops. In addition, the secretariat: arranged informal translations of necessary documents for the seminar on legislation and procedures without use of the Trust Fund (saving US\$ 20,000); prepared the third review of implementation without recourse to a consultant (saving US\$ 20,000); provided further secretariat support without recourse to a consultant or use of the Trust Fund for promotional materials (saving US\$ 90,000).

Further savings of US\$ 70,000 have been foreseen as the Working Group on SEA will not be established before the fifth session of the Meeting of the Parties to the Convention, because of the delayed entry into force of the Protocol. The Meeting of the Signatories was held at lower cost due to savings from its being held back-to-back with a meeting of the Working Group (saving US\$ 19,200). The fourth meeting of the Working Group on EIA in the intersessional period will be held within the sessions of the Meetings of the Parties, and earlier meetings were organized at lower cost (saving US\$ 66,816). A fourth free-standing meeting of the Bureau has been deemed unnecessary (saving US\$ 5,000). One subregional workshop (Mediterranean Sea area) was accidentally included in the new budget while also having a budget carried over (saving US\$ 20,000). One of four half-day seminar will not be held (saving US\$ 5,000) and only one of two one-day seminars was held, with that be held at reduced cost (saving US\$ 34,879).

In addition, given the outstanding budgetary requirements, it is clear that priority-3 activities will not be funded and must therefore be cancelled with savings of US\$ 150,000: further secretariat support for the implementation of the Convention and the Protocol (consultants; promotional materials; secretariat travel in relation to the workplan). In addition savings are now foreseen on informal translations (US\$ 40,000) and promotion of contacts outside UNECE (US\$ 10,000). Further savings may be achieved on these items.

**Table 2. Budgetary requirements (US\$)**

	<i>Amount by priority</i>			
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Total</i>
(a) Budget for period between 4th and 5th meetings of the Parties (ECE/MP.EIA/10, decision IV/8), plus for carried-over activities (from Table A.6)	949,000	694,869	150,000	1,793,869
(b) Expenditure from the Trust Fund (from Table 1)	532,482	79,269	-	611,751
(c) In-kind contributions made (from Table 1)	-	273,255	-	273,255
(d) Savings against budget for workplan activities (from Table A.6)	205,568	190,917	150,000	546,485
(e) <b>Outstanding budgetary requirements ((a)-(b)-(c)-(d))</b>	<b>210,949</b>	<b>151,429</b>	<b>-</b>	<b>362,378</b>

Table 3 presents the resources already available, or expected to be available, in the remainder of the intersessional period, taking into account remaining pledged contributions, in cash or in-kind.

**Table 3. Resources expected to be available (US\$)**

	<i>Amount by priority</i>			
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Total</i>
(a) Trust Fund balance (from Table 1)	175,037	- 17,570	-	157,467
(b) Outstanding pledged contributions to the Trust Fund, after deduction of United Nations programme support costs (from Table A.1)	47,749	-	-	47,749
(c) Outstanding, pledged in-kind contributions, valued according to the budgeted activity cost (from Table A.7)	-	93,000	-	93,000
(d) <b>Expected resources available ((a)+(b)+(c))</b>	<b>222,786</b>	<b>75,430</b>	<b>-</b>	<b>298,216</b>

There is still a substantial difference between the outstanding budgetary requirements of US\$ 362,378 (Table 2) and the expected resources available of US\$ 298,216 (Table 3), representing a potential shortfall for the budget. This shortfall needs to be addressed through savings and additional contributions if the workplan is to be completed. The secretariat has drawn the attention of the Bureau to this shortfall, to seek guidance on how it might be reduced. Savings should be achieved, for example, through the holding back-to-back of the fifth session of the Meeting of the Parties to the Convention with the Meeting of the Parties to the Convention serving as the first meeting of the Parties to the Protocol on SEA. The failure of some Parties to pay pledged contributions would worsen the shortfall.

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(at 21 May 2008)

Exchange rates for pledges:

US\$/euro 1.56

US\$/CAD\$ 1.01

**Table A.1: Income to the Espoo Trust Fund (US\$)**

1	2	3	4
Party	Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions	Pledge	Receipt
Albania	A total of USD 1,000 for the period to the next meeting of the Parties. Additional unpledged contribution of USD 1,000 in 2009.	1,000	2,000
Armenia	Either a contribution in kind by hosting the forthcoming meeting of the Implementation Committee, or 1 share for the three-year period.	0	1,000
Austria	USD 6,000 per year from 2009 until the fifth meeting of the Parties.	18,000	17,922
Azerbaijan	A total of USD 1,000 for the period to the next meeting of the Parties.	1,000	1,000
Belarus		0	0
Belgium		0	0
Bosnia and Herzegovina		0	0
Bulgaria	A total of USD 5,000 (5 shares) for the period to the next meeting of the Parties.	5,000	5,000
Canada	A total of CAD 15,000 for the three-year period.	15,150	13,802
Croatia	A total of 5 shares for the three-year period. Additional unpledged contribution of USD 2,714 in 2010.	5,000	8,320
Cyprus	Unpledged contributions of EUR 6,000 in 2009 and in 2010.	0	17,199
Czech Republic	USD 5,000 per year until the fifth meeting of the Parties. (3 shares later earmarked to support the implementation of SEA Protocol.)	15,000	14,980
Denmark		0	0
Estonia	No contribution.	0	0
Finland	A total of 35 shares for the period to the next meeting of the Parties. The contribution is subject to the availability of funds in the national budget.	35,000	22,989
France	No contribution in 2008. EUR 10,000 per year in 2009 and 2010	31,200	27,134
Germany	A minimum of 30 shares. This sum will be divided into at least two payments and will be earmarked. Any payment depends on the availability of funds in the national budget that will have to be adopted by the Parliament for each year. Additional unpledged contributions of USD15,000 in 2010 to support the travel of participants and of USD15,000 in 2011.	30,000	60,000
Greece	Unpledged contributions of USD 5,000 in 2009 and in 2010.	0	10,000
Hungary	USD 4,000 per year for the period to the next meeting of the Parties.	12,000	13,000
Ireland	Unpledged contributions of EUR 10,000 in 2008, 2009 and 2010.	0	41,886
Italy	EUR 20,000 in 2008.	31,200	26,991
Kazakhstan		0	0
Kyrgyzstan		0	0
Latvia	EUR 1000 per year, starting from 2009.	4,680	1,406
Liechtenstein		0	0
Lithuania		0	0
Luxembourg		0	0
Malta		0	0
Montenegro		0	0
Netherlands	EUR 10,000 per year for three years, subject to approval. An additional unpledged contribution of EUR 2,000 in 2010.	46,800	46,931
Norway	10-15 shares per year for three years. The contribution is subject to approval. In addition, unpledged contributions of NOK 150,000 (USD 23,226) in 2009 and NOK 200,000 (USD 31,008) in 2010 to support developing country participation.	37,500	101,879
Poland	No contribution in 2008. Unpledged contributions of USD 2,000 in 2009 and 2010.	0	4,000

<b>Party</b>	<b>Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions</b>	<b>Pledge</b>	<b>Receipt</b>
Portugal	EUR 5,000 per year for three years.	23,400	0
Republic of Moldova		0	0
Romania	USD 6,000 in 2009. In addition, submission of balance of funds from fourth meeting of the Parties (EUR 11,350).	6,000	17,846
Serbia	Unpledged contributions of USD 1,000 in 2009 and EUR 1,000 in 2011.	0	2,820
Slovakia	An unpledged contribution of EUR 2,000 in 2009.	0	2,789
Slovenia	3 shares per year (USD 3,000 per year), of which 6 shares earmarked for promoting ratification and application of the Protocol.	9,000	8,978
Spain	An unpledged contribution of EUR 15,000 in 2009.	0	21,583
Sweden	20 shares (USD 20,000) in kind for subregional cooperation in the Baltic Sea. In addition, an unpledged contribution of USD 6,975 in 2010.	0	6,975
Switzerland	Likely USD 17,500 (17.5 shares) per year for the period to the next meeting of the Parties. This contribution is subject to approval. Additional unpledged contributions of CHF15,000 in 2009 and USD20,000 in 2010 to support travel of the secretariat and participants.	52,500	87,475
The former Yugoslav Republic of Macedonia		0	0
Ukraine	EUR 6,000 for the period to the next meeting of the Parties. An additional unpledged contribution of EUR 6,000 in 2010.	9,360	16,118
United Kingdom of Great Britain and Northern Ireland	30 shares (USD 30,000) for the period to the next meeting of the Parties.	30,000	19,801
European Union	EUR 50,000 per year, 2008-2010, which may be subject to earmarking.	234,000	200,416
<b>Total</b>		<b>652,790</b>	<b>822,240</b>
	13% UN programme support costs		106,891
	<b>Net Income</b>		<b>715,349</b>

**Notes:**

All Parties to the Convention are listed (column 1), whether or not contributing to the Trust Fund.

Shares (in column 2) are US\$ 1,000 in value.

United Nations programme support costs at 13% are deducted at the foot of this table.

*Income by date is presented in Table A.2.*

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**Table A.2: Income to the Espoo Trust Fund by date (US\$)**

**Income for (a) workplan budget and (b) other**

**(a) Income for workplan budget**

<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>
<b>2008</b>			
28 March	Croatia	USD3025.78	3,026
01 April	Czech Republic	USD4980	4,980
06 May	Azerbaijan	USD1000	1,000
15 May	Albania	USD1000	1,000
04 June	Germany	USD15000	15,000
07 July	Romania*	EUR11350	17,846
02 September	Netherlands	EUR30000	44,313
14 October	Ukraine	EUR6000	8,086
20 October	European Union	USD66550	66,550
03 November	Hungary	USD3000	3,000
05 November	Switzerland	USD17475	17,475
27 November	Ireland	EUR10000	12,937
08 December	Norway	EUR10783.65	13,968
09 December	Czech Republic	USD5000	5,000
11 December	Finland	USD12000	12,000
29 December	Canada	USD4091.32	4,091
<b>2009</b>			
06 February	Austria	EUR4647.76	6,099
10 February	Croatia	USD2580	2,580
04 March	Germany	USD15000	15,000
29 April	Albania	USD1000	1,000
26 June	Slovakia	EUR2000	2,789
02 July	Latvia	EUR999.99	1,406
06 July	Norway	USD23226.20	23,226
14 July	Greece	USD5000	5,000
24 July	European Union	EUR50000	70,323
18 August	France	EUR10000	14,045
26 August	Hungary	USD5000	5,000
04 September	Spain	EUR15000	21,583
28 September	Switzerland	USD17500	17,500
19 October	United Kingdom	GBP6270.42	9,985
02 November	Poland	USD4000	4,000
03 November	Slovenia	USD3000	3,000
02 December	Cyprus	EUR 6,000	9,036
02 December	Ireland	EUR10000	15,060
15 December	Austria	EUR3977.20	5,990
16 December	Switzerland	CHF15000	15,000
16 December	Canada	USD4714.31	4,714
17 December	Serbia	EUR1000	1,506
23 December	Norway	USD17006.8	17,007



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<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>
<b>2010</b>			
15 January	United Kingdom	GBP6134.93	9,816
17 February	Germany	USD15000	15,000
22 February	Slovenia	USD2978	2,978
10 March	Italy	EUR20000	26,991
15 April	Croatia	USD2714.4	2,714
29 April	Hungary	USD5000	5,000
20 May	Norway	USD31007.75	31,008
02 June	Finland	EUR9000	10,989
	Sweden	USD6975	6,975
12 July	Czech Republic	USD5000	5,000
16 August	Switzerland	USD37500	37,500
07 September	European Union	EUR50000	63,542
28 September	Austria	EUR4590.31	5,833
18 October	Cyprus	EUR6000	8,163
09 November	Canada	USD4996	4,996
24 November	Ireland	EUR10000	13,889
29 November	Greece	USD5000	5,000
06 December	France	EUR10000	13,089
09 December	Netherlands	EUR2000	2,618
15 December	Bulgaria	USD5000	5,000
16 December	Armenia	USD1000	1,000
27 December	Norway	NOK100000	16,670
28 December	Ukraine	EUR6000	8,032
<b>2011</b>			
January	Serbia	EUR1000	1,314
January	Slovenia	USD3000	3,000
January	Germany	USD15000	15,000
<b>TOTAL</b>			<b>822,240</b>

**(b) Other contributions (not in workplan budget)**

<b>Date</b>	<b>Donor</b>	<b>Currency</b>	<b>Amount (US\$)</b>
(None)			

\* Unused balance from fourth meeting of the Parties (ECE/MP.EIA/10, decision IV/8, annex), less €50 charges.

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**Table A.3: Expenditure from the Espoo Trust Fund (US\$)**

#	Activity	Priority	Sub-activity	Cost type	Total amount	Total for activity
1	5th meeting of Parties to Convention, probably together with 2nd meeting of Parties to Convention serving as Meeting of Parties to Protocol	1		CIT travel & DSA NGO travel & DSA non-ECE travel & DSA speakers travels, DSA	- - - -	
	<u>Activity total</u>					<u>-</u>
2	1st meeting of Parties to Convention serving as Meeting of Parties to Protocol	1		CIT travel & DSA NGO travel & DSA non-ECE travel & DSA speakers travels, DSA	- - - -	
	<u>Activity total</u>					<u>-</u>
3	3rd meeting of Signatories to Protocol, Geneva	1		CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	8,270 6,269 1,261	
	<u>Activity total</u>					<u>15,800</u>
4.1	Meetings of Working Group on EIA, Geneva	1	12th meeting, 11-13 May 2009	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	12,873 10,887 4,392	
4.2			13th meeting	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	13,021 7,309 6,408	
4.3			14th meeting	CIT travel & DSA NGO travel & DSA non-ECE travel & DSA	10,762 6,269 1,261	
4.4			(None)	travel & DSA	-	
	<u>Activity total</u>					<u>73,184</u>
5.1	Meetings of Working Group on SEA	1	(None)	travel & DSA	-	
5.2			(None)	travel & DSA	-	
	<u>Activity total</u>					<u>-</u>
6.1	Meetings of the Bureau (free-standing), Geneva	2	1st meeting, 15-16 Jan. 2009	CIT travel & DSA	4,199	
6.2			2nd meeting, 14-15 Jan. 2010		1,702	
6.3			3rd meeting, 1-2 Feb. 2011		1,558	
6.4			(None)		-	
	<u>Activity total</u>					<u>7,459</u>
7.1	Meetings of the Implementation Committee, Geneva	1	15th session, 28-30 Oct. 2008	CIT travel & DSA	4,968	
7.2			16th session, 10-12 Mar 2009, Berlin		6,007	
7.3			17th session, 14-18 Sept. 2009		5,499	
7.4			18th session, 23-25 Feb. 2010		3,735	
7.5			19th session, 1 Aug.- 2 Sept. 2010		4,176	

#	Activity	Priority	Sub-activity	Cost type	Total amount	Total for activity
7.6			20th session, 11-13 January 2011		6,207	
7.7			21st session, 20 Jun. 2011?		-	
	<u>Activity total</u>					<u>30,592</u>
8.1	External expert to	1	Extrabudgetary post, Jun-Dec 2008 (pro-rata)		86,333	
8.2	provide secretariat		Extrabudgetary post, 2009		148,000	
8.3	support for		Extrabudgetary post, 2010 (contract break in August; pro-rata)		135,667	
8.4	implementation of Convention and Protocol		Extrabudgetary post, Jan-June 2011 (pro-rata)		-	
	<u>Activity total</u>					<u>370,000</u>
9	Informal translations of informal papers for meetings listed above	2	(None)		-	
	<u>Activity total</u>					<u>-</u>
10.1	Further secretariat support: Consultants	2	(None)		-	
	<u>Activity total</u>					<u>-</u>
10.2	Further secretariat support: Promotional materials	2	(None)		-	
	<u>Activity total</u>					<u>-</u>
10.3	Further secretariat support: Secretariat travel in relation to the workplan	2	Detailed in Table A.4	Secretariat travel & DSA	38,008	
	<u>Activity total</u>					<u>38,008</u>
10a.1	Further secretariat support: Consultants	3	(None)		-	
	<u>Activity total</u>					<u>-</u>
10a.2	Further secretariat support: Promotional materials	3	(None)		-	
	<u>Activity total</u>					<u>-</u>
10a.3	Further secretariat support: Secretariat travel in relation to the workplan	3	(None)		-	
	<u>Activity total</u>					<u>-</u>
11	Promotion of contacts with countries outside the UNECE region	2	Detailed in Table A.4	Secretariat & Chair's travel & DSA	3,563	
	<u>Activity total</u>					<u>3,563</u>

#	Activity	Priority	Sub-activity	Cost type	Total amount	Total for activity
12.1	Compliance with and implementation of Convention: Informal translations of submissions	1	(None)		-	
	<u>Activity total</u>					<u>-</u>
12.2	Compliance with and implementation of Convention: Drafting of 3rd review of implementation	1	(None)		-	
	<u>Activity total</u>					<u>-</u>
12.3	Compliance with and implementation of Convention: Country-specific performance reviews	1	1: Independent review of Ukraine, including consultancy fees and travel, and of expert to Kiev, September 2009		15,450	
			2: Technical assistance to Armenia, including consultancy fees and travel		19,999	
			3: Technical assistance to Azerbaijan, 2011		-	
	<u>Activity total</u>					<u>35,448</u>
14.1	Exchange of good practices: Informal translations for 1-day seminar	2	(None)		-	
	<u>Activity total</u>					<u>-</u>
14.2	Exchange of good practices: 1-day seminars	2	1: Seminar on large-scale activities, 12 May 2009		-	
			2: Seminar on legislation and procedures, 17 May 2010	Travel & DSA for 3 speakers	5,121	
	<u>Activity total</u>					<u>5,121</u>
14.3	Exchange of good practices: half-day seminars	2	1: Seminar on climate change in EIA and SEA, 24 November 2010	Travel & DSA for 2 speakers	2,155	
			2: (None)			
	<u>Activity total</u>					<u>2,155</u>
15.1	Promoting ratification and application of Protocol: National awareness-raising workshops	2	(None)		-	
	<u>Activity total</u>					
16	Subregional cooperation	2	Workshop for Mediterranean subregion, 20-21 April 2010, Tunis		7,590	
	<u>Activity total</u>					

#	Activity	Priority	Sub-activity	Cost type	Total amount	Total for activity
17	Capacity-building in countries of Eastern Europe, Caucasus and Central Asia, & others	2	Subregional workshop in Eastern Europe, 5-7 Nov 2008 - grant		22,831	
<u>Activity total</u>						<u>22,831</u>
<u>Totals</u>					<u>611,751</u>	<u>604,160</u>

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**Table A.4: Secretariat travel and DSA**

	<b>Travel &amp; DSA (US\$)</b>
Activity totals are presented in the expenditure Table A.3.	
<b>10.3 Further secretariat support: secretariat travel in relation to the workplan (priority 2)</b>	
10.3.1 Meeting with relevant authorities to review progress in the implementation of Kazakhstan-Kyrgyzstan pilot transboundary EIA, Almaty (Kazakhstan), 3-5 Jun 2008	3,528
10.3.2 Start-up meeting for Belgrade Initiative on SEA, Chisinau, 11-12 Jun 2008	1,845
10.3.3 International Expert Conference on "The Safety of Navigation and Environmental Security in a Transboundary Context in the Black Sea Basin", Odessa (Ukraine) - OSCE and Ministry of Transport and Communications of Ukraine, 24-26 Jun 2008 (costs covered by organizers)	-
10.3.4 Informal meeting between European Commission (DG Environment) and UNECE on "Lessons of application of the Espoo Convention to current and future major transboundary gas pipeline projects", Brussels, 15 Jul 2008 (2 persons)	1,627
10.3.5 Mission to Ukraine and Bystroe Canal Project, led by Secretariat of Bern Convention, including secretariats of Espoo and Ramsar Conventions, UNESCO and Council of Europe, 28-31 Jul 2008 (costs covered by United Nations regular budget)	-
10.3.6 Budapest, Energy Efficiency Institute training course, 2 Oct 2008 (cost covered by organizer)	-
10.3.7 Workshop for transboundary EIA capacity-building in Eastern Europe, Chisinau, 5-7 Nov 2008	2,079
10.3.8 Subregional workshop, Bulgaria (most local costs covered by hosts), 15-17 Nov 2008	1,026
10.3.9 Meeting under Belgrade Initiative on SEA, 15-16 Dec 2008, and meeting with Deputy Minister of Environmental Protection, and his staff, 17-18 Dec 2008, Minsk	1,969
10.3.10 Meeting with Deputy Minister, and his staff, 17-18 Dec 2008, Minsk	1,897
10.3.11 Consultations with Government of Ukraine, 5-6 Feb 2009, Kyiv	1,311
10.3.12 First Turkish national workshop on EIA, plus consultations with relevant authorities, 25-27 Feb 2009, Antalya (Turkey)	579
10.3.13 Service session of Implementation Committee, 10-12 Mar 2009, Berlin (2 persons)	3,033
10.3.14 Subregional workshop, presenting results of Kazakhstan-Kyrgyzstan pilot project(s), 24-27 Mar 2009, Bishkek	1,729
10.3.15 Seminar on international water law & negotiations of multilateral agreements, 19-21 Apr 2009, Almaty (Kazakhstan) (cost covered by organizer)	-
10.3.16 WHO/Euro Workshop on Health and Strategic Environmental Assessment, Consultation Meeting, 8-9 Jun 2009, Rome (cost covered by organizer)	-
10.3.17 Workshop on EIA in a transboundary context with the 5 central Asian countries, Ashgabad (postponed - cancellation charge payable)	210
10.3.18 OSCE-funded start-up meeting for pilot project on the Neman River (Belarus), 22-23 Jun 2009, Minsk (cost covered by organizer)	-
10.3.19 Mission to Ukraine, with national experts, 7-8 Sept 2009, Kyiv (costs covered by United Nations regular budget)	-
10.3.20 Subregional workshop for Baltic Sea subregion, 22-23 Oct 2009, Vilnius (costs covered by United Nations regular budget)	-

10.3.21	Meeting of the Standing Committee of the Convention on the Conservation of European Wildlife and Natural Habitats (Bern Convention), 24 November 2009, Bern (file: navigable waterway in the Bystroe Estuary) (costs covered by United Nations regular budget)	-
10.3.22	Subregional workshop for South-eastern Europe, 15-16 December 2009, Podgorica	1,943
10.3.23	Fifth Ministerial Conference on Environment & Health, 10 Mar 2010, Parma (Italy), including side-event on "Protecting & improving human health through SEA"	635
10.3.24	Workshop on subregional cooperation around the Mediterranean Sea, CITET, 20-21 Apr 2010, Tunis (Mediterranean subregion - Workshop on practical application of the Convention in the subregion)	1,963
10.3.25	National awareness workshop and training using the Resource Manual to provide assistance to countries in ratification of Protocol on SEA, 9 Jun 2010, Ljubljana	1,318
10.3.26	Workshop on Capacity Development on EIA in Central Asia and Azerbaijan, 16-18 Jun 2010, Baku & Salyan (Azerbaijan)	1,844
10.3.27	Round table session: regional nuclear energy projects, 9 Jun 2010, Vilnius (most costs covered by organizer, Lithuania)	253
10.3.28	Multilateral Environmental Agreements training workshop, 22-24 Jun 2010, Tirana, organized by UNEP/ROE (with costs shared with organizer)	484
10.3.29	National Seminar on Strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 22-23 Jul 2010, Dushanbe	3,022
10.3.30	Meeting of Advisory Group on Integrated Coastal Zone Management under the Black Sea Commission, including consideration of draft recommendations on transboundary EIA, 30 Sept - 1 Oct 2010, Istanbul (Turkey) (most costs covered by Black Sea Commission)	374
10.3.31	Informal meeting on the Bystroe Channel case with regard to implementation of Espoo Convention (organized by EC), 8 Oct 2010, Kyiv	1,546
10.3.32	UNECE Water Convention and its role in international law, including a session on building synergies with other legal instruments, 18-19 Oct 2010, Almaty	-
10.3.33	Mid-term workshop for presentation of the assessment of the pilot implementation project in Belarus, 5 Nov 2010, Minsk	2,024
10.3.34	Conference for the 25th anniversary of the EIA Directive: Successes – Failures – Perspectives, 18-19 Nov 2010, Leuven (Belgium)	1,123
10.3.35	Workshop under project "Capacity Building for Effective Implementation of SEA and EIA Laws in Bulgaria, Romania and Turkey", including Seminar on EIA of large energy project in the subregion (Black Sea subregion), 30 Nov - 1 Dec 2010, Szentendre (Hungary), organized by REC-CEE (with organizer covering many costs)	646
	National Seminar on Strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 6-7 Dec 2010, Ashgabat (costs covered by Water Convention-related project)	-
<b>Activity total</b>		<b>38,008</b>
<b>11</b>	<b>Promotion of contacts with countries outside the UNECE region (priority 2)</b>	
11.1	OECD/DAC Task Team on SEA meeting, 17-18 Jun 2009, Paris	1,004
11.2	Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 27-28 Jan 2010, Moscow	2,062
11.3	Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 26-27 Apr 2010, Baku (cost covered by UNEP)	-

11.4	Mekong Environment and Climate Symposium, 26-27 Apr 2010, Ho Chi Minh City (Viet Nam) (with most costs covered by organizer, Mekong River Commission, including reimbursement of air ticket cost to Trust Fund)	248
11.5	International Symposium Reducing Conflict and Enhancing Security in Water Management: Lessons Learned from Transboundary Impact Assessment, University of Tokyo, 22 Jun 2010, Japan (most costs covered by organizer)	249
11.6	7th training workshop on transboundary EIA in Northeast Asia, 16-19 Nov 2010, Incheon (Republic of Korea), coordinated by Korea Environment Institute (all costs covered by the organizer)	-
	<u>Activity total</u>	<u>3,563</u>

*Approximate costs in italics*



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**Table A.5: In-kind contributions to date**

Indicated value (US\$) is that indicated in the current budget (decision IV/8).

#	Activity	Priority	Sub-activity	Date	Source	Value (US\$)	Notes
13.1.1	Subregional cooperation and capacity-building	2	Subregional meetings	3 Dec 2010	Belarus, Environment & Security Initiative	20,000	Final conference on pilot project in Belarus (see below)
13.1.2		2		25-27 Mar 2009	Switzerland	20,000	Subregional workshop (Central Asia), presenting results of Kazakhstan-Kyrgyzstan pilot project(s), Bishkek
13.1.3		2		22-23 July 2010	Tajikistan and GTZ (Germany)	20,000	2-day national seminar on legal implementation of the Convention, Dushanbe
13.1.5		2		1 Nov 2010	REC-CEE, with support of Netherlands	20,000	Seminar on EIA of a very large energy project in the Black Sea area
13.1.6		2		17-19 Nov 2008	Bulgaria, and workshop participants	20,000	South-Eastern Europe subregion - Meeting, on the relationship between EIA and SEA, Koprivshitsa (Bulgaria)
13.1.8		2		22-23 Oct 2009	Sweden, and workshop participants	20,000	Baltic Sea subregion - workshop, Vilnius
13.2.1		2	Pilot project	2009-2010	Belarus, Environment & Security Initiative, with funding from Canada	50,000	Pilot project on Neman River hydropower plant. Start-up workshop in June 2009, mid-term workshop in November 2010, and final conference in December 2010 (actual cost substantially higher)
13.2.2		2		2006-2009	Kyrgyzstan, Kazakhstan, and OSCE, under Environment & Security Initiative, with funding from Norway	50,000	Pilot project for Andash gold-copper mine in Kyrgyzstan.
14.2.1	Exchange of good practices	2	One-day seminar	17 May 2010	Armenia	0	Legislation and procedures. Organized by secretariat within a half-day.
14.3.1		2	Half-day seminar	12 May 2009	European Commission	5,000	Large-scale projects
14.3.2		2		24 Nov 2010	Austria	2,845	Climate change, EIA and SEA, with 2 speakers supported by Trust Fund

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15.1.1	Promoting ratification and application of the Protocol	2	National awareness-raising workshops	9 Jun 2010	Slovenia	3,000	Neighbouring States invited but did not participate
15.2		2	Subregional training workshop for countries of South-Eastern Europe	22-26 Sept 2008	UNDP	30,000	Held in Prague by UNDP, with support from the Czech Trust Fund
16	Subregional cooperation	2	Workshop in Tunis	20-21 Apr 2010	Tunisia	12,410	Subregional workshop, Tunis, financing of eligible participants via Trust Fund (Italy), with other arrangements by host government
<b>Totals</b>						<b>273,255</b>	

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**Table A.6: Budget, after decision IV/8 (US\$)**

#	Activity	Priority	Sub-activity	Budget (US\$)	Savings	Over-spend	Explanation / Justification
	<i>Organizational activities</i>						
1	5th meeting of the Parties to the Convention, probably together with the 2nd meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol	1	-	45,000	0	0	
2	1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol	1	-	45,000	0	0	
3	3rd meeting of the Signatories to the Protocol, prior to the 1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol	1	-	35,000	19,200	0	Completed.
4	Meetings of Working Group on EIA (taking into account likely savings of meetings being held back to back)	1	-	140,000	66,816	0	Three of four completed; fourth to be held within sessions of Meetings of the Parties.
5	Meetings of Working Group on SEA (taking into account likely savings of meetings being held back to back)	1	-	70,000	70,000	0	Cancelled as the Working Group on SEA will not be established prior to 5th session of the Meeting of the Parties
6	Meetings of the Bureau (free-standing)	1	-	20,000	5,000	0	Three of four completed; final meeting expected to be held at start of sessions of Meetings of the Parties.
7	Meetings of the Implementation Committee	1	-	30,000	0	0	Completed.
8	External expert to provide secretariat support for the implementation of the Convention and the Protocol (including coordination of SEA capacity development, development and maintenance of website, operation of clearing house, modification of questionnaire, drafting of third review of implementation and of other documents)	1	-	444,000	0	0	(30 of 36 months completed. No savings expected.)
9	Informal translations of informal papers for meetings listed above	2	-	50,000	40,000	0	(Maximum expenditure of US\$10,000 expected.)
10.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Consultants	60,000	60,000	0	Completed by secretariat without recourse to consultant
10.2		2	Promotional materials	30,000	30,000	0	Completed by secretariat without recourse to Trust Fund
10.3		2	Secretariat travel in relation to the workplan	60,000	0	0	
10a.1	Further secretariat support for the implementation of the Convention and the Protocol	3	Consultants	60,000	60,000	0	Completed by secretariat without recourse to consultant
10a.2		3	Promotional materials	30,000	30,000	0	Completed by secretariat without recourse to Trust Fund
10a.3		3	Secretariat travel in relation to the workplan	60,000	60,000	0	
11	Promotion of contacts with countries outside the UNECE region	2	-	25,000	10,000	0	(Maximum expenditure of US\$15,000 expected.)

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#	Activity	Priority	Sub-activity	Budget (US\$)	Savings	Over-spend	Explanation / Justification
	<i>Substantive activities</i>						
12.1	Compliance with and implementation of the Convention	1	Informal translations of submissions	10,000	0	0	
12.2		1	Drafting of third review of the implementation of the Convention	20,000	20,000	0	Completed by secretariat without recourse to consultant
12.3		1	Country-specific performance reviews	90,000	24,552	0	Consultancy contracts for first two reviews organized at reduced cost.
13.1	Subregional cooperation and capacity-building	2	Subregional meetings	200,000	20,000	0	(Six of ten completed; tenth workshop is item 16 below.)
13.2		2	Pilot projects	100,000	0	0	Both completed
14.1	Exchange of good practices	2	Informal translations for one-day seminar on "Legislation and procedures for implementation of the Convention"	20,000	20,000	0	Completed. Arranged without use of the Trust Fund.
14.2		2	One-day seminars	40,000	34,879	0	One completed; one cancelled.
14.3		2	Half-day seminars during other meetings	20,000	5,000	0	(2 of 4 completed; one cancelled).
15.1	Promoting ratification and application of the Protocol	2	National awareness-raising workshops	6,000	0	0	(One of two completed.)
15.2		2	Subregional training workshop	30,000	0	0	Completed.
	<i>Carried forward activities</i>						
16	Subregional cooperation	2	Workshop for Mediterranean subregion	23,869	3,869	0	Completed. Standard cost reduced to US\$20,000
17	Capacity-building in countries of Eastern Europe, the Caucasus and Central Asia, and others	2	Subregional workshop in Eastern Europe	30,000	7,169	0	Completed. The Republic of Moldova organized the workshop at lower cost.
<b>Totals</b>				<b>1,793,869</b>	<b>586,485</b>	<b>-</b>	

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**Table A.7: In-kind contributions outstanding**

Indicated value (US\$) is that indicated in the current budget (decision IV/8).

#	Activity	Priority	Sub-activity	Date	Source	Value (US\$)	Notes
12.3.3	Compliance with and implementation of the Convention	1	Azerbaijan technical assistance for country-specific performance review		Environment & Security, funded by Finland	30,000	
13.1.4	Subregional cooperation and capacity-building	2	Caucasus subregion (Georgia)	2011	Environment & Security, funded by Finland	20,000	
13.1.8		2	Mediterranean Sea subregion	April 2011?	Various	20,000	
13.1.10		2	Baltic Sea subregion	March-April 2011	Lead countries	20,000	
15.1.2	Promoting ratification and application of the Protocol	2	National awareness-raising workshop: within a subregional training workshop for Central Asia (Almaty)	March 2011	Germany through GIZ-funded water project	3,000	Actual value approx. US\$ 50,000
<b>Totals</b>						<b>93,000</b>	