

# Status report on the activities of TF-CS/OTA

UNECE - Joint meeting of WP.1 and WP.29/GRRF  
20 September 2017, UN Palais des Nations, Geneva

## Status report on the activities of TF-CS/OTA

Overview on Task Force – Cyber Security and Software updates  
(incl. over-the-air issues)

Start of activity: 21 December 2016

Co-Chair: Mr. Darren Handley (UK/DfT)  
Mr. Tetsuya Niikuni (Japan/NTSEL)

Secretary: Mr. Jens Schenkenberger(OICA/Hyundai)

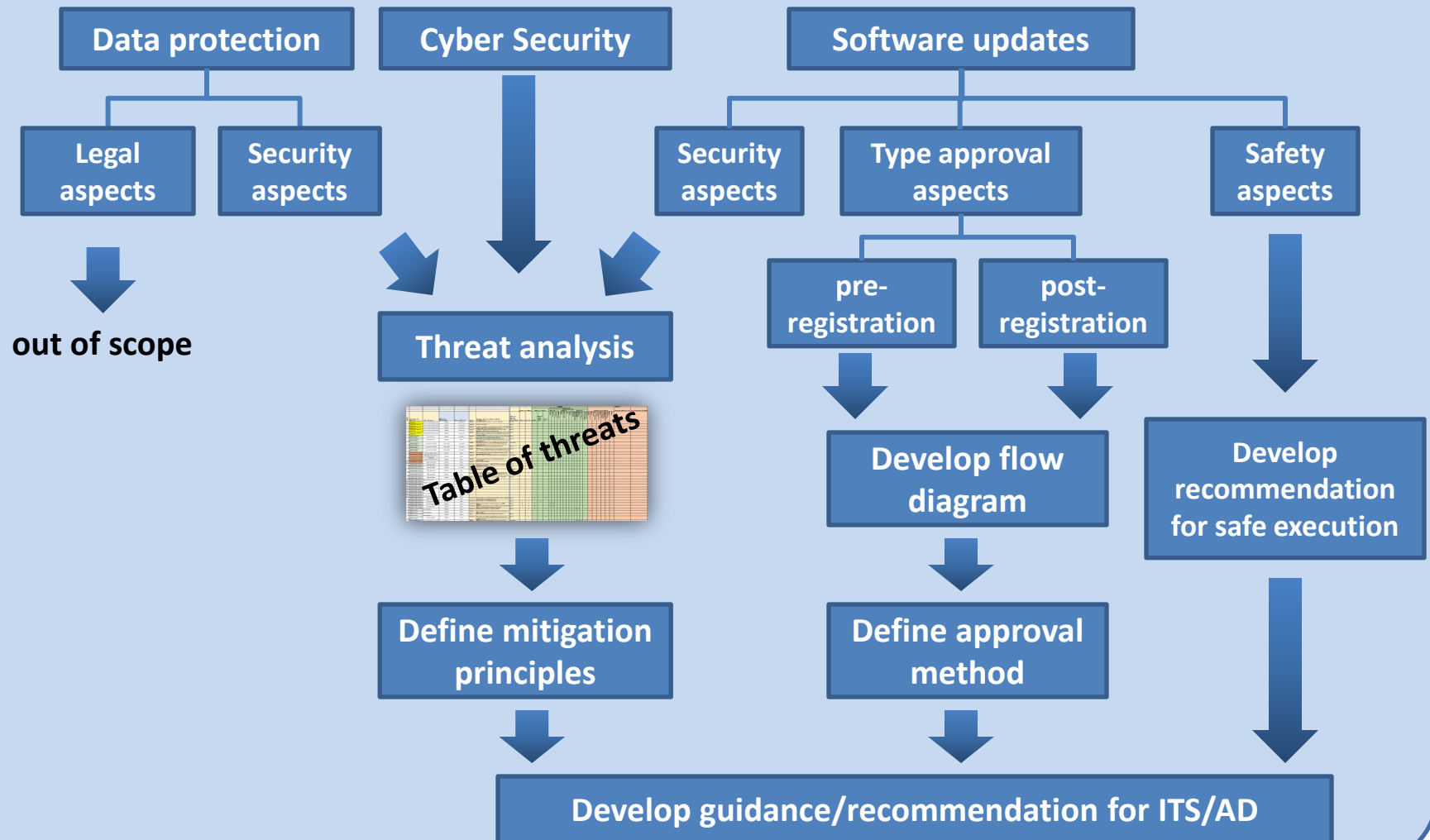
Participants: Contracting Parties (AU, BE, CN, EC, *EG\**, FR, DE, JP, KR, NL, NO, *RU\**, ES, SE, CH, UK, US),  
NGO (ITU, FIA, CITA, IRU, ISO, SAE, OICA, CLEPA)

Participation: Type approval and cyber security experts  
approx. 30 people per meeting

Mandate: until Dec. 2017

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## Scope of TF-CS/OTA



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## Cyber security:

The reference model shall be:

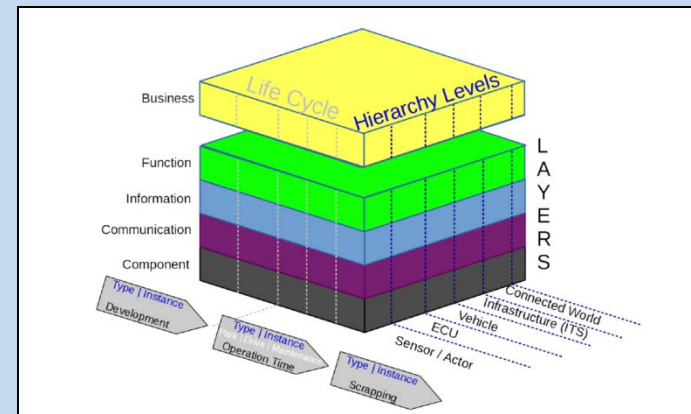
- the vehicle including:

- its hardware
- its software
- data held on the vehicle
- its internal communications
- its interfaces with external communication systems/ functions (e.g. V2X and emergency comms) and devices (e.g. USB, CD etc)
- vehicle functions/systems that use wireless communications (e.g. TPMS, keyless entry)

- support servers which directly communicate with the vehicle

- diagnostic / maintenance systems

Furthermore, it shall incorporate the information flow and the vehicle lifecycle.



German "Reference Architecture Model Automotive"

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### Cyber security (continued):

- The group has **identified key risks and threats**, resulting in a table of threats. It includes threats associated with cyber security, data protection and software updates (incl. over-the-air issues)
- The group agreed to consider “pre attack” (**prevention**), “during attack” (**detection**) and “post attack” (**response**)
- **Reference documents** identified for mitigations are :
  - ENISA report „Cyber Security and Resilience of Smart Cars” TFCS-03-09
  - UK DfT Cyber Security principles TFCS-03-07
  - NHTSA Cyber Security Guideline TFCS-03-08
  - IPA “Approaches for Vehicle Information Security” (Japan) TFCS-04-05
  - UNECE Cyber security guideline (ITS/AD) WP.29/2017/46
  - SAE J 3061
  - ISO 19790
  - ISO 26262
  - US Auto ISAC (report by Booz Allen Hamilton) <https://www.automotiveisac.com/best-practices>

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### Cyber security (continued):

- **Mitigations** for the threats identified had been **developed**, based on an **extended CIA approach** (CIA = Confidentiality, Integrity, Availability) leading to 18 mitigations
- During the development of the mitigations the references, especially the **ITS/AD cyber security guideline principles**, the **UK DfT principles** for cyber security had been considered
- The **detailed outcome** of the threat analysis, including the identified mitigations and correlating principles are comprised in a **spread sheet** (see document TFCS-08-03)

*Note: This document will be finally confirmed by the group at the 8<sup>th</sup> session of TF-CS/OTA*

- The **Consolidated Resolution (R.E. 3)**, already incorporating the ITS/AD guideline on Cyber Security for Connected and Automated , was identified by the group as a **suitable document** to incorporate the outcome on cyber security. Recommendations will be given accordingly.

## Status report on the activities of TF-CS/OTA

### Software updates:

- The group agreed that systems with „**deep learning/self learning**“ is currently **out of scope**
- The group is considering both **pre-** and **post-registration** updates, as well as **safety aspects** of software updates
- It was acknowledged by the group that **post-registration** updates are dealt with **nationally**. Therefore any output relating to this issue will be as **guidance to support national processes**.

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### Software updates (continued):

- The group defined a **matrix** for necessary actions depending on the **timing** of a software update and its **impact** on an approval

moment of update	no impact	limited impact	severe impact
Initial type approval (TA)	not applicable	not applicable	not applicable
Existing TA, <b>before Certificate of Conformity (CoC)</b>	no action	extension TA	new TA
Existing TA, after CoC, <b>before registration</b>	no action	extension TA and new CoC	new TA and new CoC
Existing TA, <b>after registration</b> , by OEM	no action	extension TA or individual approval or approval with limited scope. Registration according to national rules	new TA or individual approval or approval with limited scope. Registration according to national rules
Existing TA, <b>after registration</b> , not by OEM	(multi stage) new National approval. Registration according to national rules	(multi stage) new National approval. Registration according to national rules	(multi stage) new National approval. Registration according to national rules



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### Software updates (continued):

- The introduction of a **Regulation-linked Software Identification Number** (=> RxSWIN) was agreed by the group.

***Principle:***

*Cover the type approval relevant software versions of all impacted ECUs by one Type Approval Number for each system type approval.*

- Currently different views on introducing the number: in **each relevant Regulation** vs. introducing a **standalone “Software Regulation”**
- The **SWIN concept** should **support** following **use cases**: Type approval, Periodical Technical Inspection (PTI), Roadside inspection, Market surveillance and Accident investigation

# Status report on the activities of TF-CS/OTA

## Timeline:

TF-CS/OTA is well „on track“ to deliver guidance papers/ recommendations on the cyber security and software updates as planned for IWG ITS/AD in March 2018. However, the group may wish to extend the mandate by six month in order to finalize its work in January 2018 and to be in existence when presenting the outcome to WP.29 IWG ITS/AD.

