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**CONFERENCE OF THE PARTIES
TO THE CONVENTION ON THE TRANSBOUNDARY
EFFECTS OF INDUSTRIAL ACCIDENTS**

First meeting, 22-24 November 2000
(Item 3 (a) of the provisional agenda)

**DRAFT FORMAT FOR REPORTING
ON THE IMPLEMENTATION OF THE CONVENTION**

Background

The following draft format has been developed for use by Parties and other UN/ECE member countries when reporting on the implementation of the UN/ECE Convention on the Transboundary Effects of Industrial Accidents. It has been prepared by the secretariat and endorsed by the open-ended group established by the Meeting of the Signatories to prepare the first meeting of the Conference of the Parties to the Convention.

The Conference of the Parties is invited to discuss and adopt the reporting format at its first meeting.

GE.00-

UN/ECE CONVENTION ON THE TRANSBOUNDARY EFFECTS OF INDUSTRIAL ACCIDENTS

REPORTING FORM FOR THE IMPLEMENTATION REPORT

Introduction

1. The Conference of the Parties shall review the implementation of the Convention according to its article 18, paragraph 2 (a). To this end it established a Working Group on Implementation at its first meeting.
2. The Parties are requested to report on their implementation of the Convention, in accordance with its article 23. To facilitate the reporting procedure the following reporting form was prepared by the UN/ECE secretariat and adopted by the Conference of the Parties.
3. The completed reporting form will contain information needed for the assessment of the current status of implementation of the Convention. It will provide an overview of the legislation, strategies and policies adopted by the Parties and other UN/ECE member countries to reduce the risks of industrial accidents and to mitigate their possible transboundary effects. It may also identify the difficulties encountered by the Parties and other UN/ECE member countries in implementing and/or ratifying/acceding to the Convention.
4. The Conference of the Parties decided that an implementation report would be prepared for each of its meetings on the basis of individual national reports.
5. The implementation report will be prepared by the Working Group on Implementation. It will serve as a basis for the Working Group to draw conclusions and make recommendations for adoption by the Conference of the Parties. The report will also be made available on the Convention's Internet home page as part of the Industrial Accidents Manual.
6. The UN/ECE secretariat will provide the reporting form electronically, on a diskette and as a hard copy. The Parties are encouraged to return their responses electronically, on the same diskette or by e-mail to the secretariat (sergiusz.ludwiczak@unece.org).
7. The reporting form for the first report will be sent to Parties and other UN/ECE member countries soon after the first meeting of the Conference of the Parties. The responses should reach the UN/ECE secretariat no later than 31 March of the year of the next meeting of the Conference of the Parties. The following reports will cover 3 year periods, beginning with the period 2000-2002. National reports should be sent to the UN/ECE secretariat until 30 November of the year following the reporting period.
8. The Parties are requested to respond as fully and precisely as possible.

9. The UN/ECE member countries that are not yet Parties to the Convention are encouraged to report on the work that they have undertaken to prevent the transboundary effects of industrial accidents. They may provide information on problems and obstacles to ratification/accession and on possible measures to overcome them.

10. The reporting form makes reference to the Convention. The full text of the Convention can be found on the Convention's Internet home page (<http://www.unece.org/env/teia/welcome.html>). An overview of the tasks under the Convention is contained in document CP.TEIA/2000/8.

Country:.....

I. COMPETENT AUTHORITY/IES

The Parties shall designate or establish one or more competent authority/ies for the purposes of the Convention
Each Party shall inform the other Parties about the designation and any later change regarding the above authority, through the secretariat. (art. 17, paras. 1, 3 and 4)

Q. 1 According to the information available, the following institution/s have been designated as competent authority/ies for the purpose of the Convention. Please check the accuracy of this information.

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II. IMPLEMENTATION OF THE CONVENTION

The Parties shall, without undue delay, implement the provisions of the Convention by adopting appropriate legislative, regulatory, administrative and financial measures for the prevention of, preparedness for and response to industrial accidents. (art. 3, para. 4)

A. Legislation and other measures adopted to implement the Convention

Q. 2 Provide information on all relevant national legislation adopted or other measures taken in order to implement the Convention, including a brief description and the date of the entry into force:

	Official title	Brief description	Entry into force
1.			

	Official title	Brief description	Entry into force
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			

B. Problems and obstacles in implementing the Convention

Q. 3 Report on the difficulties, if any, that your country has encountered in the implementation of the Convention. Indicate those provisions of the Convention where the implementation created specific problems and describe the main obstacles:

Q. 4 What kind of information and/or assistance (specific workshops, documents, translations, etc.) could the Conference of the Parties or the UN/ECE secretariat render to facilitate the implementation of the Convention in your country?

C. Problems and obstacles in ratifying/acceding to the Convention

Q. 5 If your country has not yet ratified/acceded to the Convention, provide information on the main problems or obstacles in the ratification/accession process:

If so, list those activities, their location and indicate the qualifying criteria according to annex I of the Convention:

Hazardous activity	Geographical location	Qualifying criteria
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		
11.		
12.		
13.		
14.		

Hazardous activity	Geographical location	Qualifying criteria
15.		
16.		
17.		
18.		
19.		
20.		
21.		
22.		
23.		

Q. 8. Report on bilateral activities with neighbouring countries to identify hazardous activities:

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IV. PREVENTION OF INDUSTRIAL ACCIDENTS

The Parties shall take appropriate measures for the prevention of industrial accidents. They are also obliged to see that operators of hazardous activities take action to reduce the risk and demonstrate the safe performance of such activities. (art. 6, paras. 1 and 2)

Q. 9 Provide information on national/regional measures that specifically aim to prevent industrial accidents (such as safety standards, environmental impact assessment, risk analysis, inspections, and compliance regimes).

Description of measures	
1.	
2.	
3.	
4.	
5.	
6.	

V. INDUSTRIAL ACCIDENT NOTIFICATION

A. Point of contact for the purposes of industrial accident notification

The Parties are obliged to establish a point of contact for the purpose of industrial accident notifications pursuant to art. 10. The point of contact shall be operational at all times. (art. 17, paras. 2 and 5)

Q. 10 The following information is taken from the UN/ECE list of points of contacts. Please check its accuracy.

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Q. 11 Is the above point of contact operational at all times? yes:___ no: ___

Q. 12 Please indicate the languages spoken by its personnel:

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Q. 13 Please provide information on its technical equipment (telephone, fax, e-mail, etc.):

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B. Point of contact for the purpose of mutual assistance

The Parties are obliged to establish a point of contact for the purpose of mutual assistance pursuant to art. 12. In the case of an industrial accident capable of causing transboundary effects, the Parties may render assistance at the request of an affected Party. The point of contact shall be operational at all times.
(art. 17, paras. 2 and 6, and art. 12)

Q. 14 The following information is taken from the UN/ECE list of points of contact. Please check accuracy.

Q. 15 Is the above point of contact operational at all times? yes:___ no: ___

If the point of contact for mutual assistance is not the same as the point of contact for industrial accident notification, please answer questions 16 and 17.

Q. 16 Please indicate the languages spoken by its personnel:

Q. 17 Please provide information on its technical equipment (telephone, fax, e-mail, etc.):

C. Establishment of industrial accident notification systems

The Parties shall establish compatible and efficient industrial accident notification systems at appropriate levels with the Parties capable of being affected by the transboundary effects of an industrial accident. (art. 10, para. 1)

Q. 18 Has your country established any regional and/or local industrial accident notification systems with neighbouring countries?

yes: ____ no: ____

If so, please specify with which countries and at what levels (regional/local):

VI. EMERGENCY PREPAREDNESS

The Parties shall take appropriate measures to establish and maintain adequate emergency preparedness to respond to industrial accidents. To that effect the Parties shall ensure the preparation and implementation of on-site and off-site contingency plans. The Parties shall endeavour to make these plans compatible with those of their neighbouring countries. (art. 8, paras.1, 2 and 3)

Q. 19 Provide information on national/regional measures that specifically target emergency preparedness and the response to industrial accidents (such as on- and off-site contingency plans, compliance mechanisms, and regular inspections).

Description of measures	
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	

Q. 20 Report on bilateral/multilateral cooperation aimed at making off-site contingency plans compatible with those of neighbouring Parties. Have there been efforts to draw up joint off-site contingency plans with neighbouring countries?

VII. SCIENTIFIC AND TECHNOLOGICAL COOPERATION AND EXCHANGE OF INFORMATION

The Parties shall promote scientific and technological cooperation for the prevention of, preparedness for and response to industrial accidents, as well as the exchange of information and technology. (arts. 14, 15 and 16)

Q. 21 In order to strengthen bilateral/multilateral cooperation under the Convention, the Parties are encouraged to enter into agreements with other Parties to exchange information and technology.

Has your country set up bilateral/multilateral programmes to exchange information, experiences and/or technology?

yes: ___ no: ___

If so, please report on the involvement of your country in those bilateral/multilateral programmes, including their objectives, time frames and, where possible, results:

VIII. INFORMATION AND PARTICIPATION OF THE PUBLIC

The Parties shall ensure that adequate information is given to the public in the areas capable of being affected by an industrial accident. The public in the areas capable of being affected should have the opportunity to participate in the relevant procedures and should have equal access to and treatment in the relevant administrative and judicial proceedings. (art. 9, paras. 1, 2 and 3).

Q. 22 Provide information on how your legislation ensures that your own public capable of being affected by an accident can participate in establishing and implementing preventive, preparedness and response measures:

Q. 23 Is the possibility of participation given to the potentially affected public in neighbouring countries equivalent to that given to your own public ?

yes: ___ no: ___

Please provide information on what you do for the public in neighbouring countries that is capable of being affected by an accident with transboundary effects?

Q. 24 Do natural or legal persons capable of being affected by an industrial accident in the territory of another Party have access to the relevant administrative and judicial procedures in your country?

yes: ___ no: ___

Q. 25 How do you ensure that adequate information is given to all members of the affected public, including your own and that in neighbouring countries, in the event of an industrial accident with transboundary effects? Please report:

IX. DECISION-MAKING ON SITING

The Parties shall, within the framework of their legal systems, seek the establishment of policies on the siting of new hazardous activities and on significant modifications to existing activities. (art. 7)

Q. 26 Has your country established policies on the siting of hazardous activities and on significant modifications to existing activities?

yes: ___ no: ___

If so, what are these policies based on?

Q. 27 How do these policies take transboundary issues into account? Please report on bilateral cooperation with potentially affected neighbouring countries:

X. REPORTING ON PAST INDUSTRIAL ACCIDENTS

The Parties shall, as included in the long-term programme of work, establish a databank for the reception, processing and distribution of information on past industrial accidents.
(annex XII, paras.1(b) and 2(a))

Q. 28 How many accidents with transboundary effects have occurred since the entry into force of the Convention ?

Q. 29 Have they been reported ?

yes: ___ no: ___

XI. CONTACT PERSON

Q. 30 The following box should be completed by the person responsible for filling in this form, of whom the Working Group on Implementation or the UN/ECE secretariat may address additional and/or specific questions.

Name of contact person: _____
Address: _____ _____
Telephone (country/area code): _____
Fax: _____ e-mail: _____

